House Calendar No. 67

112TH CONGRESS
1st Session

HOUSE OF REPRESENTATIVES

REPORT
112–193

IN THE MATTER OF ALLEGATIONS RELATING TO MICHAEL COLLINS

R E P O R T

OF THE

COMMITTEE ON ETHICS

AUGUST 5, 2011.—Referred to the House Calendar and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

99–006 WASHINGTON : 2011

COMMITTEE ON ETHICS

JO BONNER, Alabama
Chairman
MICHAEL T. McCAUL, Texas
K. MICHAEL CONAWAY, Texas
CHARLES W. DENT, Pennsylvania
GREGG HARPER, Mississippi

LINDA T. SÁNCHEZ, California
Ranking Member
JOHN A. YARMUTH, Kentucky
DONNA F. EDWARDS, Maryland
PEDRO R. PIERLUISI, Puerto Rico
JOE COURTNEY, Connecticut

REPORT STAFF

DANIEL A. SCHWAGER, *Chief Counsel/Staff Director*
DEBORAH SUE MAYER, *Director of Investigations*
KELLE A. STRICKLAND, *Counsel to the Chairman*
DANIEL J. TAYLOR, *Counsel to the Ranking Member*
CLIFFORD C. STODDARD, Jr., *Senior Counsel*
CHRISTOPHER R. TATE, *Counsel*

LETTER OF TRANSMITTAL

HOUSE OF REPRESENTATIVES,
COMMITTEE ON ETHICS,
Washington, DC, August 5, 2011.

Hon. KAREN L. HAAS,
Clerk, House of Representatives,
Washington, DC.

DEAR MS. HAAS: Pursuant to clauses 3(a)(2) and 3(b) of rule XI of the Rules of the House of Representatives, we herewith transmit the attached Report, "In the Matter of Allegations Relating to Michael Collins."

Sincerely,

JO BONNER,
Chairman.
LINDA T. SÁNCHEZ,
Ranking Member.

CONTENTS

112TH CONGRESS 1st Session } HOUSE OF REPRESENTATIVES { REPORT 112–193

COMMITTEE ON ETHICS

IN THE MATTER OF ALLEGATIONS RELATING TO MICHAEL COLLINS

AUGUST 5, 2011

Mr. BONNER, from the Committee on Ethics, submitted the following

REPORT

I. INTRODUCTION

On May 18, 2011, the Office of Congressional Ethics (OCE) forwarded to the Committee on Ethics (Committee) a Report and Findings related to the receipt of outside income in 2007, 2008, and 2009 by Michael Collins, which he failed to properly report on his 2009 federal income tax returns and his 2007, 2008, and 2009 Financial Disclosure Statements.[1] OCE further indicated in its referral that Mr. Collins received income in 2009 that exceeded the outside earned income limit for senior staff. OCE recommended that the Committee further review the matter.

On May 23, 2011, the Committee provided Mr. Collins, through his attorney, a copy of OCE's Report and Findings and offered him the opportunity to respond to OCE's allegations. Mr. Collins submitted a response through his attorney on June 9, 2011.[2]

The Committee initiated an investigation into the matter referred by OCE pursuant to Committee Rule 18(a). On July 1, 2011, the Chairman and Ranking Member of the Committee issued a statement announcing they had jointly decided to extend the Committee's consideration of OCE's transmittal regarding Mr. Collins for an additional 45-day period.[3]

This Report, adopted by the Committee on August 1, 2011, resolves the matter forwarded by OCE. The Committee has unani-

[1] OCE's Report and Findings regarding Michael Collins, Review No. 11–4518, can be found at Appendix A.
[2] Michael Collins's response to OCE's allegations against him in OCE's Report and Findings can be found at Appendix B.
[3] House Rule XI, clause 3(b)(8)(A), and Committee Rule 17A(c)(1).

mously determined that the evidence presently before the Committee supports a determination that Mr. Collins violated House rules, laws, regulations, or other standards of conduct by failing to report outside income he had received from 2005 through 2010 on both his Financial Disclosure statements and his federal income taxes for each year. Mr. Collins has admitted that he did not report money he received between 2005 and 2009 as outside earned income. For his violation of House rules, laws, regulations, or other standards of conduct, Mr. Collins has agreed to accept a series of sanctions and remedies as discussed below.

II. HOUSE RULES, LAWS, REGULATIONS, OR OTHER STANDARDS OF CONDUCT

House Rule 26, clause 2

"[T]he provisions of title I of the Ethics in Government Act of 1978 shall be considered Rules of the House as they pertain to Members, Delegates, the Resident Commissioner, officers, and employees of the House."

Ethics in Government Act

"Any individual who is an officer or employee described in subsection (f) during any calendar year and performs the duties of his position or office for a period in excess of sixty days in that calendar year shall file on or before May 15 of the succeeding year a report containing the information described in section 102(a)." [4]

"Each report filed pursuant to section 101 (d) and (e) shall include a full and complete statement with respect to . . . [t]he source, type, and amount or value of income (other than income referred to in subparagraph (B)) from any source (other than from current employment by the United States Government). . . ." [5]

"The head of each agency . . . each congressional ethics committee, or the Judicial Conference, as the case may be, shall refer to the Attorney General the name of any individual which such official or committee has reasonable cause to believe has willfully failed to file a report or has willfully falsified or willfully failed to file information required to be reported. . . ." [6]

"[A] Member or an officer or employee who is a noncareer officer or employee and who occupies a position . . . for which the rate of basic pay is equal to or greater than 120 percent of the minimum rate of basic pay payable for GS–15 of the General Schedule, may not in any calendar year have outside earned income attributable to such calendar year which exceeds 15 percent of the annual rate of basic pay for level II of the Executive Schedule under section 5313 of title 5, United States Code, as of January 1 of such calendar year." [7]

"[T]he outside earned income limit for Members and senior staff for calendar year 2009 is **$26,550**." [8]

[4] 5 U.S.C. app. 4 § 101(d).
[5] 5 U.S.C. app. 4 § 102(a).
[6] 5 U.S.C. app. 4 § 104.
[7] 5 U.S.C. app. 4 § 501(a)(1).
[8] Memorandum from Committee on Standards of Official Conduct for All Members, Officers, and Employees, dated February 12, 2009 (emphasis in original).

Internal Revenue Code

"Any person who willfully attempts in any manner to evade or defeat any tax imposed by this title or the payment thereof shall, in addition to other penalties provided by law, be guilty of a felony and, upon conviction thereof, shall be fined not more than $100,000 ($500,000 in the case of a corporation), or imprisoned not more than 5 years, or both, together with the costs of prosecution."[9]

House Rule 25, clause 1(a)(1)

"Except as provided by paragraph (b), a Member, Delegate, Resident Commissioner, officer, or employee of the House may not—

> (1) have outside earned income attributable to a calendar year that exceeds 15 percent of the annual rate of basic pay for level II of the Executive Schedule under section 5313 of title 5, United States Code, as of January 1 of that calendar year."

III. BACKGROUND

OCE Allegations

On May 18, 2011, the OCE referred to the Committee a matter involving Mr. Michael Collins, Chief of Staff to Representative John Lewis, for further review. In its referral, OCE determined that there was "substantial reason to believe that Mr. Collins violated House rules and federal law by exceeding the outside earned income limit and failing to report the income on his Financial Disclosure Statements and federal income tax returns."[10] OCE further determined that Mr. Collins was subject to an outside earned income limit of $26,550.[11] According to OCE's Report and Findings, Mr. Collins did not report income earned from the campaign on his 2007, 2008, or 2009 Financial Disclosure Statements.[12] OCE further reported that Mr. Collins exceeded the outside earned income limit for 2009 because he received $27,000 from the campaign that year.[13] Furthermore, Mr. Collins did not disclose the income he received in 2009 on his federal income tax return.[14]

Mr. Collins' Employment History

Mr. Collins has paid federal income taxes for the last 29 years of his life. Mr. Collins began his career with Representative Lewis as his floor assistant in 1999; less than a year later he was promoted to Chief of Staff. Mr. Collins has received training from the Committee directed at senior staff (including training on outside earned income limits and financial disclosures) every year since the Committee instituted such training.

Payments from the Campaign to Mr. Collins

Committee staff, pursuant to the authority granted to the Chairman and Ranking Member under Committee Rule 18(a), reviewed Federal Election Commission (FEC) records from the John Lewis

[9] 26 U.S.C. 7201.
[10] See Appendix A at 1.
[11] *Id*.
[12] *Id*
[13] *Id*
[14] *Id*

for Congress campaign from 2000 through 2010. The campaign began paying a "consulting fee" for either fundraising or political strategy to Mr. Collins beginning in 2005 and continued to make such payments each year from 2005 through 2010 as indicated in Chart 1, below.

CHART 1—INCOME PAYMENTS FROM CAMPAIGN TO MR. COLLINS

Payment date	Amount	Description
3/2/2005	$5,000.00	Fundraising Consulting Fee
11/6/2006	7,000.00	Fundraising Consulting Fee
12/18/2007	5,000.00	Consulting Fee/Political Strategy
12/11/2008	10,000.00	Campaign Management Consulting Fee
8/19/2009	12,000.00	Fundraising Consulting Fee
12/14/2009	15,000.00	Fundraising Consulting Fee

According to these same FEC records, the John Lewis for Congress campaign paid Mr. Collins for certain expenses and reimbursed him for outlays he made to the campaign with his own funds. Some of these outlays also appear to violate campaign finance laws and House rules. Chart 2, below, lists the expense and reimbursement payments Mr. Collins received from the campaign according to FEC records.[15]

CHART 2—POSSIBLE REIMBURSEMENT PAYMENTS FROM CAMPAIGN TO MR. COLLINS

Payment Date	Amount	Description
1/31/2007	$1,071.76	Fundraising event expenses
5/23/2007	892.32	Volunteers appreciation event
7/13/2007	1,595.68	Expenses
9/20/2007	973.06	Expenses
11/21/2007	475.29	Expenses
2/7/2008	499.36	Expenses
2/25/2008	475.00	Reimbursement
1/29/2009	1,148.72	Reimbursement of credit card charges
2/1/2009	7,000.00	Reimbursement for storage unit rent

Mr. Collins, in interviews with OCE[16] and with Committee staff,[17] admitted that he had received payments in the form of a consulting fee from the campaign. Mr. Collins, in his interview with the Committee, stated that he was never employed by the campaign as an employee, but performed work on his own time for the campaign and was compensated as an "agent." He explained that he viewed this payment as a "bonus," and noted that he was unable to receive a bonus directly from Representative Lewis' office due to his salary level. He also admitted that he had received reimbursements for expenses from the campaign.[18]

Mr. Collins' Financial Disclosure Statements

OCE reviewed Mr. Collins' Financial Disclosure Statements from 2007 through 2009. OCE found that, in each year, Mr. Collins did not report any outside earned income, despite the payments from

[15] *See* Appendix A, Exhibit 3–5.
[16] OCE interviewed Mr. Collins on March 9, 2011. See Appendix A at Exhibit 2.
[17] Committee staff interviewed Mr. Collins on July 28, 2011.
[18] Mr. Collins, in his interview with Committee staff, was unable to recall when he began receiving consulting fees, as opposed to reimbursements. When Committee staff explained that they were unable to find records of income prior to 2005, Mr. Collins stated that it was likely he began receiving income in that year.

the John Lewis for Congress campaign as listed in Chart 1, *supra.*[19]

Committee counsel also reviewed Mr. Collins' Financial Disclosure Statements; because the Committee is not subject to OCE's limitations period, Committee counsel's review comprised those reports filed between 2000 and 2010. Committee counsel's review confirmed OCE's findings for the reports filed from 2007 through 2009, and also discovered that Mr. Collins did not report any outside earned income from 2000 through 2007, despite the payments from the John Lewis for Congress campaign as listed in Chart 1, *supra*. In total, Mr. Collins failed to report $54,000 in income from 2005 to 2009. Mr. Collins, after his interview with OCE, filed an amended Financial Disclosure Statement reporting the $27,000 he received from the campaign in 2009.

Mr. Collins stated in his interview with OCE that his failure to disclose the income received from the John Lewis for Congress campaign on his Financial Disclosure Statements was due to "negligence." [20] Similarly, in Mr. Collins' interview with the Committee, he indicated that because the John Lewis for Congress campaign never sent him a Form W–2 or 1099 to document his payments, he never thought about the payments in terms of income, and so never reported them. Mr. Collins stated that he now

*understands the need to list all outside earned income on his Financial Disclosure Statements, and stated his intention and willingness to amend all affected forms.

Mr. Collins' income tax returns

OCE reviewed Mr. Collins' Form 1040 federal income tax return for calendar year 2009. OCE determined that Mr. Collins had not reported the income he received from the campaign on his Form 1040.[21] Committee counsel reviewed Mr. Collins' 2009 Form 1040 and confirmed the finding of OCE that the $27,000 received from the campaign was not reported on his tax return.[22] In the course of its investigation, OCE obtained a 1099–MISC form indicating that Mr. Collins received $27,000 in nonemployee compensation from John Lewis for Congress.[23] Mr. Collins, after his interview with OCE, filed an amended tax return reporting the $27,000 he received from the campaign in 2009. Mr. Collins admitted in his interview with OCE that he did not report the $27,000 he received in 2009 on his federal income taxes and explained his failure to report was because he believed it was "not reportable income." [24]

When asked by Committee counsel to explain his failure to report the consulting fees that the campaign paid him on his tax return, Mr. Collins stated that because the John Lewis for Congress campaign never sent him a Form W–2 or 1099 to document the payments he received, he never thought about the payments in terms of income, and so never reported them. Mr. Collins acknowledged that if he had received this money as a "bonus" paid as a part of his House employment, it would have appeared on his Form W–2 and would consequently have been taxed. Mr. Collins stated

[19] *See* Appendix A at 11–13.
[20] *See* Appendix A, Exhibit 2 at ¶17.
[21] *See* Appendix A at ¶53.
[22] *See* Appendix A, Exhibit 12.
[23] *See* Appendix A, Exhibit 7.
[24] *See* Appendix A, Exhibit 2 at ¶¶20, 48, and 54.

that he now understands the need to list all income on his tax returns, and stated his intention and willingness to amend any and all affected tax returns.

Exceeding the outside earned income limit

Mr. Collins received $27,000 from the John Lewis for Congress campaign in 2009. In that year, the outside earned income limit for senior staff was $26,550.[25] Because of his House rate of pay, Mr. Collins was considered senior staff for the purpose of the outside earned income limit.[26]

IV. FINDINGS AND CONCLUSIONS

As a result of its review of OCE's Report and Findings, and additional investigative activity by the Committee, the Committee has reached the following findings and conclusions.

1. Michael Collins received $5,000 income from the John Lewis for Congress campaign in 2005 and failed to disclose that amount of outside income or its source on his Financial Disclosure Statement for 2005 or his federal income tax return for 2005, and failed to pay income taxes thereon.
2. Michael Collins received $7,000 income from the John Lewis for Congress campaign in 2006 and failed to disclose that amount of outside income or its source on his Financial Disclosure Statement for 2006 or his federal income tax return for 2006, and failed to pay income taxes thereon.
3. Michael Collins received $5,000 income from the John Lewis for Congress campaign in 2007 and failed to disclose that amount of outside income or its source on his Financial Disclosure Statement for 2007 or his federal income tax return for 2007, and failed to pay income taxes thereon.
4. Michael Collins received $10,000 income from the John Lewis for Congress campaign in 2008 and failed to disclose that amount of outside income or its source on his Financial Disclosure Statement for 2008 or his federal income tax return for 2008, and failed to pay income taxes thereon.
5. Michael Collins received $27,000 income from the John Lewis for Congress campaign in 2009 and failed to disclose that amount of outside income or its source on his Financial Disclosure Statement for 2009 or his federal income tax return for 2009, and failed to pay income taxes thereon.

For his violation of House rules, laws, regulations, or other standards of conduct, in satisfaction of the sanctions and remedies unanimously adopted by the Committee, Mr. Collins has agreed to:

(1) Waive all further procedural steps and rights he may be entitled to in this matter under House and Committee rules;

(2) Accept a letter of reproval;

(3) Amend his Financial Disclosure Statements for the years 2005 through 2008 to correct all errors or omissions;

(4) Pay a $1,000 fine (equivalent to five years of late Financial Disclosure filing fines) by December 31, 2011;

(5) Provide to the Committee by September 30, 2011, an affidavit confirming that he has personally reviewed his income

[25] *See* Appendix A at 15.
[26] *Id.* at 16.

tax returns and Financial Disclosure Statements for the last six years for any and all errors or omissions and that he has made all necessary corrections and amendments;

(6) Amend his income tax returns for the years 2005 through 2010 to correct all errors or omissions by September 30, 2011;

(7) Report to the Committee immediately upon a determination of what additional taxes or penalties he owes;

(8) Pay all unpaid taxes, penalties, and interest owed for the years 2005 through 2010, either to the IRS or the U.S. Treasury, regardless of any statute of limitations, by a date to be set by the IRS or the Committee after it receives the report required under paragraph 7;

(9) Verify with the Federal Election Commission that the $450 he returned to the campaign at the direction of OCE is a permissible payment. If not, the Campaign will need to return the $450 to Mr. Collins to avoid an impermissible contribution, and Mr. Collins will need to contribute $450 to a charitable organization properly registered and qualified under section 501(c)(3) of the Internal Revenue Code, by September 30, 2011, so that Mr. Collins does not retain the excessive outside earned income; and

(10) Provide the Committee with evidence of all of the above steps by the date indicated or to be set by the IRS or the Committee where applicable.

Mr. Collins understands that failing to meet any of the above conditions at any time in this or future Congresses could result in further Committee action. Based on his admission and acceptance of responsibility for his actions, and his agreement to the above sanctions and remedies, the Committee has determined that this matter is closed, subject to Mr. Collins fulfilling the above conditions.

The Chair is directed, upon providing the notices required pursuant to House Rule XI, clause 3(b)(8)(A), and Committee Rule 17A(a)(2), to file this Report with the House, together with a copy of OCE's Report and Findings in this matter, along with any responses filed, all of which are made a part of this Report and appended hereto.[27] The filing of this Report, along with its publication on the Committee's Web site, shall serve as publication of OCE's Report and Findings in this matter, pursuant to House Rule XI, clause 3(b)(8)(A), and Committee Rules 17A(b)(3) and 17A(c)(2).

V. STATEMENT UNDER RULE 13, CLAUSE 3(c) OF THE RULES OF THE HOUSE OF REPRESENTATIVES

The Committee made no special oversight findings in this Report. No budget statement is submitted. No funding is authorized by any measure in this Report.

[27] House Rule XI, clauses 3(a)(2) and 3(b).

APPENDIX A

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

REPORT

Review No. 11-4518

The Board of the Office of Congressional Ethics, by a vote of no less than four members, on April 29, 2011, adopted the following report and ordered it to be transmitted to the Committee on Ethics of the United States House of Representatives.

SUBJECT: Michael Collins

NATURE OF THE ALLEGED VIOLATION: Michael Collins, Chief of Staff for Representative John Lewis, is employed as a consultant with the John Lewis for Congress campaign committee. From 2007 to 2009, the campaign committee reported paying Mr. Collins consulting fees totaling $42,000. On June 16, 2008, Mr. Collins filed his calendar year 2007 financial disclosure statement and did not report income earned from the campaign committee. Mr. Collins filed his financial disclosure statements for calendar years 2008 and 2009 without reporting the income earned from the campaign committee. The consulting fees earned in 2009 were not disclosed on his federal income tax return.

Mr. Collins was subject to the 2009 outside earned income limit of $26,550. The campaign committee reported paying Mr. Collins $27,000 in 2009.

If Mr. Collins received income from the campaign committee and failed to disclose the earned income on his financial disclosure statements and federal income tax returns, he may have violated House rules and federal law. Also, if Mr. Collins received more than $26,550 of earned income in 2009, he may have violated House rules and federal law.

RECOMMENDATION: The Board of the Office of Congressional Ethics recommends that the Committee on Ethics further review the above allegations because there is substantial reason to believe that Mr. Collins violated House rules and federal law by exceeding the outside earned income limit and failing to report the income on his financial disclosure statements and federal income tax returns.

VOTES IN THE AFFIRMATIVE: 5

VOTES IN THE NEGATIVE: 1

ABSTENTIONS: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE COMMITTEE ON ETHICS: Omar S. Ashmawy, Staff Director & Chief Counsel.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 11-4518

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 11-4518

On April 29, 2011, the Board of the Office of Congressional Ethics ("Board") adopted the following findings of fact and accompanying citations to law, regulations, rules, and standards of conduct (*in italics*). The Board notes that these findings do not constitute a determination that a violation actually occurred.

I. INTRODUCTION

A. Summary of Allegations

1. In 2009, the John Lewis for Congress campaign committee filed reports with the Federal Election Commission("FEC") indicating that Mr. Collins received payments exceeding the outside earned income limit for senior staff. Mr. Collins' Calendar Year 2009 Financial Disclosure Statement, however, did not include any income from the campaign committee.

2. During the course of this review, the Board learned that Mr. Collins received outside earned income from John Lewis for Congress for many years prior to 2009. Mr. Collins did not include his outside earned income on his financial disclosure statements. This review is limited to the allegations concerning financial disclosure reports filed on or after March 11, 2008.

3. The Board finds that there is substantial reason to believe that Mr. Collins violated House rules and federal law by: (1) failing to include his outside earned income from John Lewis for Congress on his financial disclosure statements for calendar years 2007, 2008, and 2009; (2) failing to report his earned income from John Lewis for Congress on his federal tax returns for calendar year 2009; and (3) exceeding the outside earned income limit for 2009.

B. Jurisdictional Statement

4. The allegations that are the subject of this review concern Mr. Collins, an employee of the United States House of Representatives. The Resolution the United States House of Representatives adopted creating the Office of Congressional Ethics ("OCE") directs

that, "[n]o review shall be undertaken . . . by the board of any alleged violation that occurred before the date of adoption of this resolution."[1] The House adopted this Resolution on March 11, 2008. Because the conduct under review occurred after March 11, 2008, the OCE has jurisdiction in this matter.

C. Procedural History

5. The OCE received a written request for a preliminary review in this matter signed by at least two members of the Board on January 24, 2011. The preliminary review commenced on January 25, 2011.[2] The preliminary review was scheduled to end on February 23, 2011.

6. At least three members of the Board voted to initiate a second-phase review in this matter on February 22, 2011. The second-phase review commenced on February 24, 2011.[3] The second-phase review ended on April 9, 2011.

7. The Board voted to refer the matter to the Committee on Ethics and adopted these findings on April 29, 2011.

8. This report and findings were transmitted to the Committee on Ethics on May 18, 2011.

D. Summary of Investigative Activity

9. The OCE requested and received documentary and, in some cases, testimonial information from the following sources:

(1) Mr. Collins; and

(2) John Lewis for Congress.

[1] H. Res. 895, 110th Cong. §1(e), as amended (the "Resolution").
[2] A preliminary review is "requested" in writing by members of the Board of the OCE. The request for a preliminary review is "received" by the OCE on a date certain. According to the Resolution, the timeframe for conducting a preliminary review is thirty days from the date of receipt of the Board's request.
[3] According to the Resolution, the Board must vote on whether to conduct a second-phase review in a matter before the expiration of the thirty-day preliminary review. If the Board votes for a second-phase, the second-phase begins when the preliminary review ends. The second-phase review does not begin on the date of the Board vote.

II. MICHAEL COLLINS' OUTSIDE EARNED INCOME

A. Law, Regulations, Rules, and Standards of Conduct

Financial Disclosure

10. *Pursuant to House Rule 26, clause 2, "the provisions of title I of the Ethics in Government Act of 1978 shall be considered Rules of the House as they pertain to Members, Delegates, the Resident Commissioner, officers, and employees of the House."*

11. *The Ethics in Government Act provides that "[a]ny individual who is an officer or employee described in subsection (f) during any calendar year and performs the duties of his position or office for a period in excess of sixty days in that calendar year shall file on or before May 15 of the succeeding year a report containing the information described in section 102(a)."*[4]

12. *"Each report filed pursuant to section 101 (d) and (e) shall include a full and complete statement with respect to . . . [t]he source, type, and amount or value of income (other than income referred to in subparagraph (B)) from any source (other than from current employment by the United States Government). . . ."*[5]

13. *"The head of each agency . . . each congressional ethics committee, or the Judicial Conference, as the case may be, shall refer to the Attorney General the name of any individual which such official or committee has reasonable cause to believe has willfully failed to file a report or has willfully falsified or willfully failed to file information required to be reported . . . ."*[6]

Federal Tax

14. *Under Title 26 of U.S. Code, there are various violations related to the filing of incorrect income tax statements.*[7]

Outside Earned Income Limit

15. *Pursuant to House Rule 25, clause 1(a)(1), "except as provided by paragraph (b), a Member, Delegate, Resident Commissioner, officer, or employee of the House may not . . . (1) have outside earned income attributable to a calendar year that exceeds 15 percent*

[4] 5 U.S.C. app. 4 § 101(d).
[5] 5 U.S.C. app. 4 § 102(a).
[6] 5 U.S.C. app. 4 § 104.
[7] *See* 26 U.S.C. §§ 7201, 7203, 7206.

of the annual rate of basic pay for level II of the Executive Schedule under section 5313 of title 5, United States Code, as of January 1 of that calendar year."

16. *the Ethics in Government Act provides that "a Member or an officer or employee who is a noncareer officer or employee and who occupies a position . . . for which the rate of basic pay is equal to or greater than 120 percent of the minimum rate of basic pay payable for GS15 of the General Schedule, may not in any calendar year have outside earned income attributable to such calendar year which exceeds 15 percent of the annual rate of basic pay for level II of the Executive Schedule under section 5313 of title 5, United States Code, as of January 1 of such calendar year."*[8]

17. *"[T]he outside earned income limit for Members and senior staff for calendar year 2009 is $26,550."*[9]

B. <u>Mr. Collins Received Outside Earned Income from 2007 to 2009</u>

18. Mr. Collins told the OCE that he has served as Chief of Staff for Representative John Lewis since approximately 1998.[10]

19. As Chief of Staff, Mr. Collins is responsible for arranging ethics training from the Committee on Ethics for office staff.[11]

20. Mr. Collins told the OCE that during his thirteen years of employment with the House, he has also received outside earned income from the John Lewis for Congress campaign committee.[12]

21. Mr. Collins work as a consultant to the campaign and is responsible for approving all expenditures for the campaign, including staff salaries.[13]

<u>2007 Outside Earned Income</u>

22. According to the disclosure reports that John Lewis for Congress filed with the FEC, disbursements totaling $10,008.11 were paid to Mr. Collins in 2007.[14]

---

[8] 5 U.S.C. app. 4 § 501(a)(1).
[9] Memorandum from Committee on Standards of Official Conduct for All Members, Officer, and Employees Regarding the Outside Earned Income Limit and Outside Employment Restrictions, dated February 12, 2009 ("2009 Outside Earned Income Memo") (Exhibit 1 at 11-4518_002).
[10] Memorandum of Interview of Michael Collins, March 9, 2011("Collins MOI") (Exhibit 2 at 11-4518_005).
[11] *Id.*
[12] *Id.* at 11-4518_006-007.
[13] *Id.* at 11-4518-006.
[14] Excerpts of John Lewis for Congress 2007 Federal Election Commission Itemized Disbursement Reports ("2007 FEC Reports") (Exhibit 3 at 11-4518_010-015).

23. The total of $10,008.11 in disbursements consisted of payments for expenses and consulting fees.[15]

24. The amount of disbursements for expenses was $5,008.11.[16] These disbursements appear to be repayments to Mr. Collins for expenses that he incurred on behalf of the campaign and do not appear to be earned income.[17]

25. Based on the document below, the amount of the disbursement for a consulting fee was $5,000.[18] This disbursement appears to be payment for the services that he provided to the campaign as a consultant, which he described to the OCE.[19]

NAME OF COMMITTEE (In Full)
John Lewis for Congress

C. Full Name (Last, First, Middle Initial)
Michael Collins

Transaction ID: D90483
Date of Disbursement
12 18 2007

Mailing Address [redacted]

City: Fort Washington | State: MD | Zip Code: 20744

Amount of Each Disbursement this Period
5000.00

Purpose of Disbursement
Consulting Fee-Political Strategy

Category/Type

Candidate Name

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
X Primary / General / Other (specify)

27. Mr. Collins was paid $5,000 in 2007 for the services that he provided to John Lewis for Congress.

---

[15] *Id.*

[16] *Id.* The reports note that the following payments are for reimbursed expenses: payment on January 31, 2007 for $1,071.76; payment on May 23, 2007 for $892.32; payment on July 13, 2007 for $1,595.68; payment on September 20, 2007 for $973.06; and payment on November 21, 2007 for $475.29.

[17] Pursuant to 18 U.S.C. § 603, a House employee is prohibited from making a campaign contribution to one's employing Member. "[M]ost outlays that an individual makes on behalf of a campaign are deemed to be a contribution to that campaign from that individual." House Ethics Manual 139. "This is so even if it is intended that the campaign will reimburse the individual promptly." *Id.* Although a House employee usually may not incur expenses on behalf of the employing Member's campaign, an exception to this prohibition is that an individual may incur travel expenses on behalf of a campaign. *Id.* Based on the information before the OCE, it appears that the campaign reimbursed Mr. Collins for expenses unrelated to travel, such as expenses for a "staff appreciation event" and "refreshments." 2007 FEC Reports (Exhibit 3 at 11-4518_010-011). However, the Board does not make any finding on whether there is substantial reason to believe that the 2007 reimbursements may have violated 18 U.S.C. § 603 because any potential violation occurred prior to the OCE's jurisdiction.

[18] 2007 FEC Reports (Exhibit 3 at 11-4518_014).

[19] Collins MOI (Exhibit 2 at 11-4518_006).

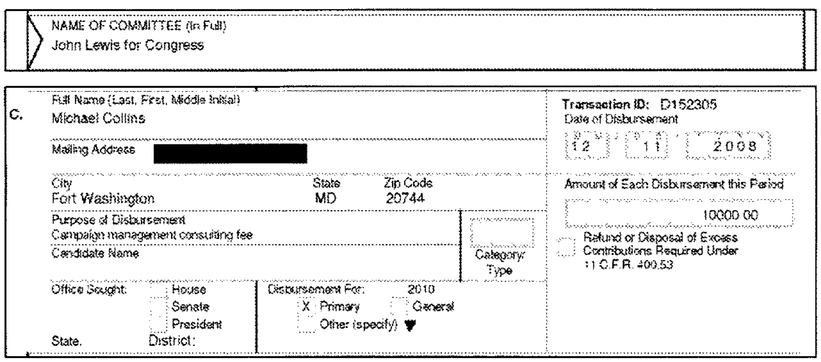
<u>2008 Outside Earned Income</u>

28. According to the disclosure reports that John Lewis for Congress filed with the FEC, disbursements totaling $10,998.72 were paid to Mr. Collins in 2008.[20]

29. The total of $10,998.72 in disbursements consisted of payments for reimbursements, expenses, and consulting fees.[21]

30. The amount of disbursements for expenses and reimbursements was $998.72.[22] These disbursements appear to be repayments to Mr. Collins for expenses that he incurred on behalf of the campaign and do not appear to be earned income.[23]

31. Based on the document below, the amount of the disbursement for "campaign management and consulting fee" was $10,000.[24] This disbursement appears to be payment for the services that Mr. Collins provided to the campaign as a consultant, which he described to the OCE.[25]



NAME OF COMMITTEE (In Full)
John Lewis for Congress

C. Full Name (Last, First, Middle Initial)
Michael Collins

Mailing Address [redacted]

City: Fort Washington | State: MD | Zip Code: 20744

Purpose of Disbursement: Campaign management consulting fee

Candidate Name

Category/Type

Office Sought: House / Senate / President

State: District:

Disbursement For: 2010 | X Primary | General | Other (specify)

Transaction ID: D152305

Date of Disbursement: 12 11 2008

Amount of Each Disbursement this Period: 10000.00

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

32. Mr. Collins was paid $10,000 in 2008 for the services that he provided to John Lewis for Congress.

[20] Excerpts of John Lewis for Congress 2008 Federal Election Commission Itemized Disbursement Reports ("2008 FEC Reports") (Exhibit 4 at 11-4518_017-019).
[21] *Id.*
[22] *Id.* The reports note that the following payments are for reimbursed expenses: payment on February 7, 2008 for $499.36; payment on February 25, 2008 for $475.50; and payment on March 5, 2008 for $23.86.
[23] A House employee may not receive reimbursement for expenses incurred on behalf of a campaign other than for travel expenses. *See supra* note 15; House Ethics Manual 139. Based on the information before the OCE, it is unclear whether the campaign reimbursed Mr. Collins in 2008 for travel expenses or other expenses.
[24] 2008 FEC Reports (Exhibit 4 at 11-4518_019).
[25] Collins MOI (Exhibit 2 at 11-4518_006).

2009 Outside Earned Income

33. According to the disclosure reports that John Lewis for Congress filed with the FEC, disbursements totaling $28,848.72 were paid to Mr. Collins in 2009.[26]

34. The total of $28,848.72 in disbursements consisted of payments for reimbursements and consulting fees.[27]

35. The amount of the disbursement for reimbursements was $1,848.72.[28] These disbursements appear to be repayments to Mr. Collins for expenses that he incurred on behalf of the campaign and do not appear to be earned income.[29]

36. The amount of disbursements for consulting fees was $27,000.[30] These disbursements appear to be payments for the services that he provided to the campaign as a consultant, which he described to the OCE.[31]

[26] Excerpts of John Lewis for Congress 2009 Federal Election Commission Itemized Disbursement Reports ("2009 FEC Reports") (Exhibit 5 at 11-4518_021-024).
[27] *Id.*
[28] *Id.* The reports note that the following payments are for reimbursed expenses: payment on January 29, 2009 for $1,148.72; and payment on February 1, 2009 for $700.00. Mr. Collins told the OCE that the disbursement for $700 was used to pay "for consultants who moved campaign storage." Email from Michael Collins to Vickie Winpisinger, Campaign Accountant, dated February 27, 2009 ("February 27, 2009 Email") (Exhibit 6 at 11-4518_026); Collins MOI (Exhibit 2 at 11-4518_007). He cashed the $700 check and paid each consultant $350 for their services. February 27, 2009 Email (Exhibit 6 at 11-4518_026). As a result, Mr. Collins describes this disbursement as a payment to other staffers and not a reimbursement of any expense that he incurred on behalf of the campaign.
[29] A House employee may not receive reimbursement for expenses incurred on behalf of a campaign other than for travel expenses. *See supra* note 15; House Ethics Manual 139. Based on the information before the OCE, it is unclear whether the campaign reimbursed Mr. Collins in 2009 for travel expenses or other expenses.
[30] 2009 FEC Reports (Exhibit 5 at 11-4518_023-024).
[31] Collins MOI (Exhibit 2 at 11-4518_006).

37. Based on the document below, the Internal Revenue Service Form 1099 for calendar year 2009 that Mr. Collins received from John Lewis for Congress indicates that he was paid $27,000.[32]

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.
John Lewis for Congress
2015 Wallace Road
Atlanta, GA 30331
301-947-0278

1 Rents $ -
2 Royalties $
OMB No. 1545-0115
2009
Form 1099-MISC
Miscellaneous Income
3 Other income $
4 Federal income tax withheld $

PAYER'S federal identification number [redacted]
RECIPIENT'S identification number [redacted]
5 Fishing boat proceeds $
6 Medical and health care payments $
Copy C For Payer or State Copy

RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code
Michael Collins
[redacted]
Fort Washington MD 20744

7 Nonemployee compensation $ 27000.00
8 Substitute payments in lieu of dividends or interest $
9 Payer made direct sales of $5,000 or more of consumer products to a buyer (recipient) for resale ▶ ☐
10 Crop insurance proceeds $
11
12
Account number (see instructions)
2nd TIN not. ☐
13 Excess golden parachute payments $
14 Gross proceeds paid to an attorney $
For Privacy Act and Paperwork Reduction Act Notice, see the **2009 General Instructions for Forms 1099, 1098, 3921, 3922, 5498, and W-2G.**

15a Section 409A deferrals $
15b Section 409A income $
16 State tax withheld $ $
17 State/Payer's state no.
18 State income $ $

Form **1099-MISC** Department of the Treasury - Internal Revenue Service

38. Mr. Collins was paid $27,000 in 2009 for the services that he provided to John Lewis for Congress.

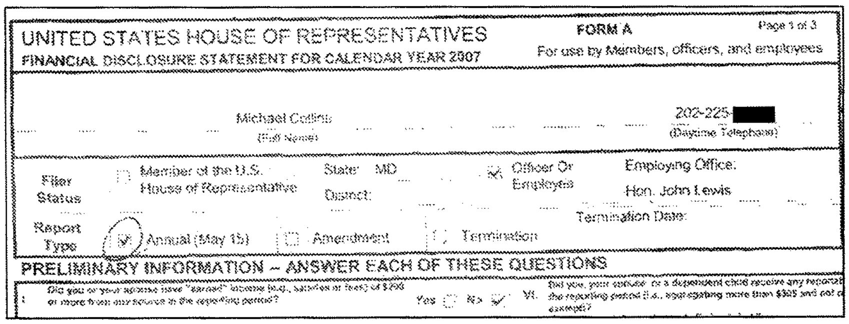
C. <u>Mr. Collins Did Not Include His Outside Earned Income on His Calendar Year 2007 Financial Disclosure Statement</u>

39. On June 16, 2008, Mr. Collins filed his Calendar Year 2007 Financial Disclosure Statement with the Office of the Clerk.[33]

[32] Michael Collins Form 1099-MISC Miscellaneous Income for Calendar Year 2009 ("2009 1099 Form") (Exhibit 7 at 11-4518_028). According to the reports that the John Lewis for Congress campaign filed with the FEC, the campaign paid Mr. Collins $27,700.
[33] Michael Collins Calendar Year 2007 Financial Disclosure Statement, dated June 16, 2008 ("2007 FD") (Exhibit 8 at 11-4518_030-032).

40. The first question on the form asks: "Did you or your spouse have 'earned' income (*e.g.*, salaries or fees) of $200 or more from any source in the reporting period?"[34] In response to the question, Mr. Collins' checked the "No" box.[35]

41. Based on the document below, Mr. Collins' Calendar Year 2007 Financial Disclosure Statement does not disclose the $5,000 that John Lewis for Congress reported paying him for his consulting services in 2007.[36]



UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007

FORM A — Page 1 of 3
For use by Members, officers, and employees

Michael Collins (Full Name) — 202-225-[redacted] (Daytime Telephone)

Filer Status: Member of the U.S. House of Representative — State: MD — District: — Officer Or Employee — Employing Office: Hon. John Lewis — Termination Date:

Report Type: Annual (May 15) — Amendment — Termination

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes No

D. Mr. Collins Did Not Include His Outside Earned Income on His Calendar Year 2008 Financial Disclosure Statement

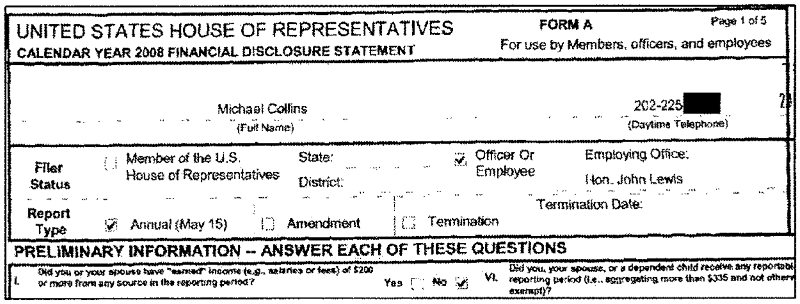
42. On May 15, 2009, Mr. Collins filed his Calendar Year 2008 Financial Disclosure Statement with the Office of the Clerk.[37]

43. The first question on the form asks: "Did you or your spouse have 'earned' income (*e.g.*, salaries or fees) of $200 or more from any source in the reporting period?"[38] In response to the question, Mr. Collins' checked the "No" box.[39]

---

[34] *Id.*
[35] *Id.*
[36] 2007 FD (Exhibit 8 at 11-4518_030-032).
[37] Michael Collins Calendar Year 2008 Financial Disclosure Statement, dated May 15, 2009 ("2008 FD") (Exhibit 9 at 11-4518_034-038).
[38] *Id.* at 11-4518_034.
[39] *Id.*

44. Based on the document below, Mr. Collins' Calendar Year 2008 Financial Disclosure Statement does not disclose the $10,000 that John Lewis for Congress reported paying him for his consulting services in 2008.[40]


UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT

FORM A
Page 1 of 5
For use by Members, officers, and employees

Michael Collins
(Full Name)

202-225[redacted]
(Daytime Telephone)

Filer Status: ☐ Member of the U.S. House of Representatives; State:; District:; ☑ Officer Or Employee; Employing Office: Hon. John Lewis

Report Type: ☑ Annual (May 15); ☐ Amendment; ☐ Termination; Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑

VI. Did you, your spouse, or a dependent child receive any reportabl[e] ... reporting period (i.e., aggregating more than $335 and not other[wise] exempt)?

E. Mr. Collins Did Not Disclose His Outside Earned Income on His Calendar Year 2009 Financial Disclosure Statement

45. On May 17, 2010, Mr. Collins filed his Calendar Year 2009 Financial Disclosure Statement with the Office of the Clerk.[41]

46. The first question on the form asks: "Did you or your spouse have 'earned' income (*e.g.*, salaries or fees) of $200 or more from any source in the reporting period?"[42] In response to the question, Mr. Collins' checked the "No" box.[43]

---

[40] *Id.*

[41] Michael Collins Calendar Year 2009 Financial Disclosure Statement, dated May 17, 2010 ("2009 FD") (Exhibit 10 at 11-4518_040-044).

[42] *Id.* at 11-4518_040.

[43] *Id.*

47. Based on the document below, Mr. Collins' Calendar Year 2009 Financial Disclosure Statement does not disclose the $27,000 that the John Lewis for Congress campaign reported paying him for his consulting services in 2009.[44]

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A — Page 1 of 5
For use by Members, officers, and employees

Michael Collins
(Full Name)

202-225-[redacted]
(Daytime Telephone)

Filer Status	☐ Member of the U.S. House of Representative — State: District:	☑ Officer Or Employee — Employing Office: Hon. John Lewis
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑ If yes, complete and attach Schedule I.	VI. Did you, your spouse, or a dependent child receive any reportabl the reporting period (i.e., aggregating more than $335 and not ot exempt)? If yes, complete and attach Schedule VI.

48. The OCE asked Mr. Collins to explain why he did not report the 2009 outside earned income on his Calendar Year 2009 Financial Disclosure Statement. Mr. Collins told the OCE that the failure to report was negligence on his part and that he thought money from the campaign did not have to be reported because he said that he considered the money to be a bonus and not a salary.[45]

49. Mr. Collins stated to the OCE that he believes he has never reported the money that he earns from the campaign on his financial disclosure statements throughout his thirteen years as Chief of Staff.[46]

50. As a result of this Review, on March 11, 2011, Mr. Collins filed an amended Calendar Year 2009 Financial Disclosure Statement with the Office of the Clerk.[47] The amended disclosure statement reports that Mr. Collins received $27,000 in salary from John Lewis for Congress in 2009.[48]

51. Based on the information before the OCE, Mr. Collins has not amended his financial disclosure statements for calendar years 2007 and 2008.

[44] *Id.*
[45] Collins MOI (Exhibit 2 at 11-4518_007).
[46] *Id.*
[47] Michael Collins Amended Calendar Year 2009 Financial Disclosure Statement, dated March 11, 2011 (Exhibit 11 at 11-4518_046-048).
[48] *Id.*

F. Mr. Collins Did Not Disclose His Outside Earned Income on His Federal Income Tax Returns

52. According to information that Mr. Collins produced to the OCE, he did not report income from John Lewis for Congress on his federal income tax return statement for calendar year 2009.[49]

53. Mr. Collins' Form 1040 Income Tax Return for calendar year 2009 does not disclose that he received $27,000 of earned income in addition to his House salary. [50]

54. The OCE asked Mr. Collins to explain why he did not report the 2009 outside income on his calendar year 2009 federal income tax returns. Mr. Collins told the OCE that he did not report the income on his tax returns for the same reason that he did not report the income on his financial disclosure statement.[51] He believed that the income from the campaign was not reportable.[52]

55. He told the OCE that, during his thirteen year employment with the campaign, he believes he has never reported his income from the campaign on his federal tax returns.[53]

56. As a result of this review, on or about April 4, 2011, Mr. Collins filed an amended 1040 Income Tax Return for calendar year 2009.[54] The amended tax return reports that Mr. Collins received $27,000 in miscellaneous income in 2009.[55]

G. Mr. Collins Exceeded the 2009 Outside Earned Income Limit

57. On February 12, 2009, the Committee on Ethics issued a memorandum to all Members, Officers, and employees of the House concerning the outside earned income limit and outside employment restrictions.[56]

58. The memorandum explained that "the outside earned income limit for Members and senior staff for calendar year 2009 is $26,550."[57]

[49] Collins MOI (Exhibit 2 at 11-4518_007).
[50] Michael Collins Form 1040 U.S. Individual Income Tax Return Calendar Year 2009, dated February 27, 2010 ("2009 Tax Return") (Exhibit 12 at 11-4518_050-057).
[51] Collins MOI (Exhibit 2 at 11-4518_007).
[52] *Id.*
[53] *Id.*
[54] Michael Collins Amended U.S. Individual Income Tax Return Calendar year 2009, dated April 4, 2011 (Exhibit 13 at 11-4518_059-062).
[55] *Id.*
[56] 2009 Outside Earned Income Memo (Exhibit 1 at 11-4518_002-003).
[57] *Id.* at 11-4518_002.

59. "[T]he outside earned income limit applies to House officers and employees paid at or above the rate of $117,787 for more than 90 days in 2009."[58]

60. Mr. Collins was subject to the outside earned income limit in 2009 because the House paid him more than $117,787 for more than 90 days. According to his Form W-2 Wage and Tax Statement for Calendar Year 2009, the House paid him $151,077.53.[59]

61. As explained in Part II.B, above, he was paid $27,000 in outside earned income in 2009.

62. Mr. Collins' outside earned income that he received from John Lewis for Congress exceeded the outside earned income limit of $26,550.

63. Mr. Collins told the OCE that he has been aware of the outside earned income limit throughout his thirteen year employment with the House.[60]

64. He also told the OCE that in 2009, he was aware that there was a limit on outside earned income, but he did not know that he was near the limit. He is responsible for approving all expenditures for the campaign, including staff salaries.[61]

III. CONCLUSION

65. John Lewis for Congress paid Mr. Collins a total of $42,000 from 2007 to 2009.[62]

66. During this time period, Mr. Collins knew of the outside earned income limit and the financial disclosure requirements. He knew of the limit and financial disclosure requirements for the over thirteen years that he has been employed as Chief of Staff for Representative Lewis.[63]

67. On his financial disclosure statements for calendar years 2007, 2008, and 2009, Mr. Collins reported that he did not receive any outside earned income.[64] During this same time period, he worked for the campaign committee and approved the payment of his own salary.[65]

---

[58] *Id.*
[59] Michael Collins' Form W-2 Wage and Tax Statement for Calendar Year 2009 (Exhibit 14 at 11-4518_064).
[60] Collins MOI (Exhibit 2 at 11-4518_005-006).
[61] *Id.*
[62] 2007 FEC Reports (Exhibit 3 at 11-4518_010-015); 2008 FEC Reports (Exhibit 4 at 11-4518_017-019); 2009 FEC Reports (Exhibit 5 at 11-4518_021-024).
[63] Collins MOI (Exhibit 2 at 11-4518_005-006).
[64] 2007 FD (Exhibit 8 at 11-4518_030-032); 2008 FD (Exhibit 9 at 11-4518_034-038); 2009 FD (Exhibit 10 at 11-4518_40-044).
[65] Collins MOI (Exhibit 2 at 11-4518_006-007).

68. On his federal income tax return for calendar year 2009, Mr. Collins did not report any income received from John Lewis for Congress.[66]

69. In 2009, the campaign paid Mr. Collins $27,000, which exceeded the outside earned income limit.[67]

70. Based on the information available to the OCE during this Review, there is substantial reason to believe that Mr. Collins violated House Rule 25, clause 1(a)(1); House Rule 26, clause 2; 5 U.S.C. app. 4 §§ 101, 102, 104, and 501 (a)(1); and federal tax law because he (1) failed to include his outside earned income from John Lewis for Congress on his financial disclosure statements for calendar years 2007, 2008, and 2009, (2) failed to report his earned income from John Lewis for Congress on his federal tax returns for calendar year 2009, and (3) exceeded the outside earned income limit in 2009.

71. For these reasons, the Board recommends that the Committee on Ethics further review the allegations described above concerning Mr. Collins.

[66] 2009 Tax Return (Exhibit 7 at 11-4518_028).
[67] 2009 1099 Form (Exhibit 7 at 11-4518_028).

EXHIBIT 1

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

February 12, 2009

MEMORANDUM FOR ALL MEMBERS, OFFICERS, AND EMPLOYEES

FROM: **Committee on Standards of Official Conduct**
Zoe Lofgren, Chair
Jo Bonner, Ranking Republican Member

SUBJECT: The 2009 Outside Earned Income Limit and Salaries Triggering the Financial Disclosure Requirement and Post-Employment Restrictions

THE OUTSIDE EARNED INCOME LIMIT AND OUTSIDE EMPLOYMENT RESTRICTIONS

By statute and House rule, the amount of outside earned income that Members and "senior staff" (as defined below) may have in any calendar year is limited. 5 U.S.C. app. 4 § 501(a)(1); House Rule 25, cl. 1(a)(1). In addition to House Members, the limit applies to House officers and employees who are paid at a rate equal to or greater than 120% of the minimum pay for GS-15 of the general schedule for more than 90 days in a calendar year. The GS-15, step 1 rate of basic pay for 2009 is $98,156 (locality pay is not considered in making this determination). Accordingly, the outside earned income limit applies to House officers and employees paid at or above the rate of **$117,787** for more than **90 days** in 2009.

The amount of the outside earned income limit for any year is 15% of the rate of pay for Level II of the Executive Schedule in effect on January 1 of the year. The rate of pay for Executive Level II in 2009 is $177,000. Accordingly, the outside earned income limit for Members and senior staff for calendar year 2009 is **$26,550**.

Under clauses 1-4 of House Rule 25 and related provisions of statutory law, Members, as well as officers and employees paid at or above the "senior staff" threshold rate, are also subject to a number of specific limitations on the types of outside employment. Information on these limitations is provided on pages 213 to 228 of the *2008 House Ethics Manual*, which is available on the Standards Committee website (ethics.house.gov). The Committee's Office of Advice and Education (extension 5-7103) can provide further explanation.

– OVER –

FINANCIAL DISCLOSURE

The requirement to file a Financial Disclosure Statement applies both to Members and to House officers and employees who are paid at a rate equal to or greater than 120% of the minimum pay for GS-15 for at least 60 days at any time during a calendar year. 5 U.S.C. app. 4 § 109(13). As noted above, 120% of GS-15 is now **$117,787**, and thus House officers and employees who are paid at or above that rate of pay (referred to as the "senior staff rate") for at least **60 days** during **2009** must file a Financial Disclosure Statement in May 2010. **In addition, any new employee paid at that rate must file a new employee Financial Disclosure Statement within 30 days of beginning House employment.**

Please note that the requirement to file a Financial Disclosure Statement covering calendar year 2008 applies to officers and employees who were paid at an annual rate of **$114,468** for at least 60 days in **2008**. The annual Financial Disclosure Statements for 2008 are due on Friday, May 15, 2009 for those individuals who continue to be officers or employees of the House on that date.

POST-EMPLOYMENT RESTRICTIONS

Members and officers of the House, as well as certain House employees, are subject to post-employment restrictions on lobbying. 18 U.S.C. § 207. A former employee of a Member, committee, or leadership office is subject to the restrictions if, for at least **60 days** during the one-year period preceding termination of House employment, the employee was paid at a rate equal to or greater than 75% of the basic rate of pay for Members at the time of termination.

The basic rate of pay for Members in 2009 is $174,000. Therefore, the post-employment threshold for employees who depart from a job in a Member, committee, or leadership office during 2009 is **$130,500**. The triggering salary for employees of other House or legislative branch offices (such as the CBO, GAO, and Library of Congress) is Level IV of the Executive Schedule, which for 2009 is $153,200. Information on the post-employment restrictions applicable to Members and staff is available in a pair of Standards Committee advisory memoranda, copies of which are available on the Committee website.

* * * * *

CALENDAR YEAR 2009

OUTSIDE EARNED INCOME CAP .... $ 26,550

OUTSIDE EARNED INCOME AND
OUTSIDE EMPLOYMENT THRESHOLD $117,787

FINANCIAL DISCLOSURE THRESHOLD $117,787

POST-EMPLOYMENT THRESHOLD
For employees of Member, committee, or leadership offices **$130,500**
For employees of "other legislative offices" **$153,200**

EXHIBIT 2

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

MEMORANDUM OF INTERVIEW

IN RE:	Michael Collins
REVIEW No.:	11-4518
DATE:	March 9, 2011
LOCATION:	OCE 425 3rd Street, SW Washington, DC 20515
TIME:	3:05 p.m. to 3:45 p.m. (approximate)
PARTICIPANTS:	Kedric L. Payne Paul J. Solis

SUMMARY: Michael Collins is the Chief of Staff for Representative John Lewis of the 5th District of Georgia. The OCE requested an interview with Mr. Collins on March 9, 2011, and he consented to an interview. Mr. Collins (the "witness") made the following statements in response to our questioning:

1. The witness was given an 18 U.S.C. § 1001 warning and consented to an interview. He signed a written acknowledgement of the warning, which will be placed in the case file in this review.
2. The witness has been the Chief of Staff and Floor Assistant for Representative John Lewis for approximately thirteen years.
3. The witness did not work for any other congressional office prior to his employment with Representative Lewis.
4. As a Floor Assistant, he is responsible for supporting the whip operations for Representative Lewis, who serves as the Democratic Chief Deputy Whip.
5. As Chief of Staff, he is the senior chief policy advisor for Representative Lewis. The witness has various duties, including the hiring, firing, promoting, and training of the office staff. The witness also has payroll responsibilities for the office. He prepares staff payroll documents and submits them to the House payroll office.
6. The witness arranges ethics training from the Committee on Ethics ("COE") for office staff. The witness provides ethics requirements from the COE to office staff and offers office staff personalized ethics briefings from COE staff. The witness also mentions the

outside earned income limit to staff but does not discuss it in detail because only the witness approached the limit.

7. The witness has worked for Representative Lewis' congressional campaign committee (the "campaign") for approximately thirteen years. The witness stated that under the house rules, he assumes that his position and title at the campaign should be listed as "Agent to the campaign." The witness also provides consulting services to the campaign.

8. His duties for the campaign include overseeing all operations, hiring staff, paying bills, handling invoices and receipts. He also writes payroll checks for campaign staff. In the memo section of the checks, he writes "salary."

9. The witness stated that Representative Lewis must approve any campaign expenditures. No one else is involved in approving campaign expenditures besides Representative Lewis and the witness.

10. The witness explained that the campaign hires a variable number of staff each election cycle. During the 2009/2010 election cycle, there were approximately three fulltime employees on the campaign payroll. These three staffers were employed with Representative Lewis' congressional office. The witness explained that he is responsible for paying the staffers from the campaign account; however, he has no role processing tax forms for staffers.

11. The campaign employs Vickie Winpisinger as an accountant for the campaign. As part of her duties for the campaign, she prepares reports for the Federal Election Commission ("FEC"). Before Ms. Winpinsinger files reports with the FEC, the witness reviews the reports for errors and discrepancies.

12. The witness told the OCE that he has been aware of the outside earned income limit throughout his thirteen year employment with the House of Representatives.

13. In 2009, he was aware that there was a limit on outside earned income, but he did not know that he was near the limit or that a possible infraction occurred until the OCE contacted him.

14. Following the initiation of this review, the witness contacted the COE and COE staff advised him to amend his 2009 financial disclosure statement. He has not amended the financial disclosure statement at the time of the interview.

15. The OCE asked the witness about a letter from Ms. Winpisinger to him, dated March 2, 2011 (Camp_012), indicating that the campaign paid him $27,700 in 2009. He was also asked about the 2009 Form 1099 that the campaign issued to him (MC_0016), which indicates that the campaign paid him $27,000.

16. In response, the witness stated that he is not sure why the two documents have a $700 difference in the amount that the campaign paid him. He believes that he received a $700 payment in February 2009 that was a reimbursement for campaign storage costs and not part of his salary.[1] He stated that sometimes reimbursements are paid as part of an employee's salary.

17. When asked why he did not report any income from the campaign on his 2009 financial disclosure statement, the witness stated that it was "negligence" and he thought that money from the campaign did not have to be reported. He considered the money from the campaign to be a bonus and not a salary. He did not seek advice from anyone on this issue.

18. The witness received a salary from the campaign for all thirteen years of his employment. The money is paid to him at the discretion of Representative Lewis. His salary changes each year. In 2009, the campaign paid the witness the largest amount to date.

19. The witness told the OCE that during his thirteen years of employment with the campaign, he does not think that he reported the campaign income on his financial disclosure statement.

20. The OCE asked the witness about his 2009 Form 1040 Federal Income Tax Return (MC-0007-0014). He stated that he did not report the income from the campaign to the Internal Revenue Service for the same reason that he did not report it on his financial disclosure statement, *i.e.*, it was not reportable income.

21. The witness told the OCE that during his thirteen years of employment with the campaign, he does not think that he reported the campaign income on his federal income tax returns.

22. Since the initiation of this review, he has talked to his tax preparer about correcting the tax filing, but he has not attempted to correct the form at this time.

[1] On March 10, 2011, the day after the interview, the witness called the OCE and explained that the $700 payment dated February 1, 2009 was not part of his salary. The payment was for two staffers who assisted with moving storage for the campaign. The witness cashed the $700 check written to him and paid each staffer $350 for the work. The witness alluded to an email from him to Ms. Winpisinger, dated February 27, 2009, where he writes the following in response to her question about a $700 check payable to the witness: "This was for consultants who moved campaign storage . . . Two individuals both were paid 350. I have invoices that both signed." (Camp_004).

This memorandum was prepared on March 10, 2011, based on the notes that the OCE staff prepared during the interview with the witness on March 9, 2011. I certify that this memorandum contains all pertinent matter discussed with the witness on March 10, 2011.

Kedric L. Payne
Investigative Counsel

EXHIBIT 3

Image# 27990988830

SCHEDULE B (FEC Form 3) ITEMIZED DISBURSEMENTS

Use seperate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) [X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 9 / 13

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicating contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Bank of America, N.A.
Mailing Address: PO Box 25118
City: Tampa | State: FL | Zip Code: 33622
Purpose of Disbursement: Service fee
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: | District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D71960
Date of Disbursement: 03 / 13 / 2007
Amount of Each Disbursement this Period: 35.00
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial): BP Cardmember Services
Mailing Address: P.O. Box 9075
City: Des Moines | State: IA | Zip Code: 50368
Purpose of Disbursement: Travel/gas
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: | District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D71965
Date of Disbursement: 03 / 09 / 2007
Amount of Each Disbursement this Period: 318.60
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

C. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Fundraising event expenses
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: | District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D71964
Date of Disbursement: 01 / 31 / 2007
Amount of Each Disbursement this Period: 1071.76
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) ▶ 1425.36

TOTAL This Period (last page this line number only) ▶

FEC **Schedule B (Form 3)** Rev. 02/2003

Image# 27931629270

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use seperate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 36 / 41

[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicating contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Michael Collins
Transaction ID: D69411
Date of Disbursement: 05 / 23 / 2007
Mailing Address [redacted]
City: Fort Washington State: MD Zip Code: 20744
Amount of Each Disbursement this Period: 892.32
Purpose of Disbursement: Volunteers appreciation event
Category/Type:
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Candidate Name
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

B. Full Name (Last, First, Middle Initial): Herschel Fink
Transaction ID: D69432
Date of Disbursement: 04 / 04 / 2007
Mailing Address [redacted]
City: Phoenix State: AZ Zip Code: 85032-6341
Amount of Each Disbursement this Period: 6000.00
Purpose of Disbursement: Fundraising consultant
Category/Type: 003
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Candidate Name
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

C. Full Name (Last, First, Middle Initial): Herschel Fink
Transaction ID: D69414
Date of Disbursement: 05 / 17 / 2007
Mailing Address [redacted]
City: Phoenix State: AZ Zip Code: 85032-6341
Amount of Each Disbursement this Period: 3000.00
Purpose of Disbursement: Fundraising Consultant
Category/Type: 003
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Candidate Name
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

SUBTOTAL of Disbursements This Page (optional) ▶ **9892.32**

TOTAL This Period (last page this line number only) ▶

FEC **Schedule B (Form 3)** Rev. 02/2003

Image# 27931629303

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use seperate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 28 / 31

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicating contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): State Farm Insurance Co.
Mailing Address: PO Box 58802
City: North Metro | State: GA | Zip Code: 30029
Purpose of Disbursement: Insurance
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D73582
Date of Disbursement: 07 / 05 / 2007
Amount of Each Disbursement this Period: 693.71
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial): Winpisinger & Associates, Inc.
Mailing Address: 315 Inspiration Lane
City: Gaithersburg | State: MD | Zip Code: 20878
Purpose of Disbursement: Administrative/Compliance
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D73590
Date of Disbursement: 07 / 31 / 2007
Amount of Each Disbursement this Period: 494.95
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

C. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Expenses (see below)
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D73586
Date of Disbursement: 07 / 13 / 2007
Amount of Each Disbursement this Period: 1595.68
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) ▶ 2784.34

TOTAL This Period (last page this line number only) ▶

FEC **Schedule B (Form 3)** Rev. 02/2003

Image# 27931629306

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use seperate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b
[ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 31 / 31

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of solicating contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial)
Michael Collins

Mailing Address [redacted]

City: Fort Washington | State: MD | Zip Code: 20744

Purpose of Disbursement
Expenses (see below)

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
X Primary / General
Other (specify)

Transaction ID: D73618
Date of Disbursement: 09 / 20 / 2007

Amount of Each Disbursement this Period: 973.06

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial)
Maggiano's Little Italy

Mailing Address 3368 Peachtree Road

City: Atlanta | State: GA | Zip Code: 30326

Purpose of Disbursement
Event/Refreshments

Candidate Name

Category/Type

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
X Primary / General
Other (specify)

Transaction ID: D73887
Date of Disbursement: 09 / 20 / 2007

Amount of Each Disbursement this Period: 973.06

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

[MEMO ITEM]

SUBTOTAL of Disbursements This Page (optional) .... ▶ **973.06**

TOTAL This Period (last page this line number only) .... ▶ **50103.60**

FEC **Schedule B (Form 3)** Rev. 02/2003

Image# 28990264809

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b
[ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 63 / 81

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial)
BP Card Member Services

Transaction ID: D90453
Date of Disbursement
11 / 11 / 2007

Mailing Address P.O. Box 9075

City: Des Moines State: IA Zip Code: 50368

Amount of Each Disbursement this Period: 115.36

Purpose of Disbursement
Travel/Gas

Candidate Name

Category/Type

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
[X] Primary [ ] General
[ ] Other (specify)

B. Full Name (Last, First, Middle Initial)
Burrelle's Luce

Transaction ID: D90459
Date of Disbursement
11 / 21 / 2007

Mailing Address 75 East Northfield Rd.

City: Livingston State: NJ Zip Code: 07039

Amount of Each Disbursement this Period: 2003.50

Purpose of Disbursement
Subscription

Candidate Name

Category/Type

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
[X] Primary [ ] General
[ ] Other (specify)

C. Full Name (Last, First, Middle Initial)
Michael Collins

Transaction ID: D90483
Date of Disbursement
12 / 18 / 2007

Mailing Address [redacted]

City: Fort Washington State: MD Zip Code: 20744

Amount of Each Disbursement this Period: 5000.00

Purpose of Disbursement
Consulting Fee/Political Strategy

Candidate Name

Category/Type

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

Office Sought: House / Senate / President
State: District:

Disbursement For: 2008
[X] Primary [ ] General
[ ] Other (specify)

SUBTOTAL of Disbursements This Page (optional) .... ▶ **7118.86**

TOTAL This Period (last page this line number only) .... ▶

FE5AN018

FEC Schedule B (Form 3) (Revised 02/2003)

Image# 28990264824

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one) PAGE 78 / 81

[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Winpisinger & Associates, Inc.
Transaction ID: D90458
Date of Disbursement: 11 / 21 / 2007
Mailing Address: 315 Inspiration Lane
City: Gaithersburg State: MD Zip Code: 20878
Amount of Each Disbursement this Period: 3205.58
Purpose of Disbursement: Administrative/Compliance
Candidate Name
Category/Type
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Office Sought: House / Senate / President
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
State: District:

B. Full Name (Last, First, Middle Initial): Winpisinger & Associates, Inc.
Transaction ID: D90488
Date of Disbursement: 12 / 20 / 2007
Mailing Address: 315 Inspiration Lane
City: Gaithersburg State: MD Zip Code: 20878
Amount of Each Disbursement this Period: 3001.23
Purpose of Disbursement: Administrative/Compliance
Candidate Name
Category/Type
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Office Sought: House / Senate / President
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
State: District:

C. Full Name (Last, First, Middle Initial): Michael Collins
Transaction ID: D90461
Date of Disbursement: 11 / 21 / 2007
Mailing Address: 1729 Felwood Street
City: Fort Washington State: MD Zip Code: 20744
Amount of Each Disbursement this Period: 475.29
Purpose of Disbursement: Expenses (See below)
Candidate Name
Category/Type
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
Office Sought: House / Senate / President
Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)
State: District:

SUBTOTAL of Disbursements This Page (optional) ▶ 6682.10

TOTAL This Period (last page this line number only) ▶

FE5AN018 FEC Schedule B (Form 3) (Revised 02/2003)

EXHIBIT 4

Image# 28931888194

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)

[X] 17 [ ] 18 [ ] 19a [ ] 19b
[ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 80 / 82

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial)
Michael Collins

Mailing Address [redacted]

City: Fort Washington | State: MD | Zip Code: 20744

Purpose of Disbursement: Expenses (see below)

Candidate Name

Category/Type

Office Sought: House / Senate / President

State: District:

Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

Transaction ID: D95371
Date of Disbursement: 02 / 07 / 2008

Amount of Each Disbursement this Period: 499.36

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial)
Delta Airlines

Mailing Address: Hartsfield Atlanta Intl Airport

City: Atlanta | State: GA | Zip Code: 30320

Purpose of Disbursement: Travel/Airfare

Candidate Name

Category/Type

Office Sought: House / Senate / President

State: District:

Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

Transaction ID: D95468
Date of Disbursement: 02 / 07 / 2008

Amount of Each Disbursement this Period: 150.00

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

[MEMO ITEM]

C. Full Name (Last, First, Middle Initial)
Michael Collins

Mailing Address [redacted]

City: Fort Washington | State: MD | Zip Code: 20744

Purpose of Disbursement: Reimbursement

Candidate Name

Category/Type

Office Sought: House / Senate / President

State: District:

Disbursement For: 2008 [X] Primary [ ] General [ ] Other (specify)

Transaction ID: D95396
Date of Disbursement: 02 / 25 / 2008

Amount of Each Disbursement this Period: 475.50

Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) .... ▶ **974.86**

TOTAL This Period (last page this line number only) .... ▶

FE5AN018

FEC **Schedule B (Form 3) (Revised 02/2003)**

Image# 28931888195

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 81 / 82

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Office Depot
Mailing Address: 151 14th Street NW
City: Atlanta | State: GA | Zip Code: 30318
Purpose of Disbursement: Office supplies/equipment
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D96308
Date of Disbursement: 02 / 25 / 2008
Amount of Each Disbursement this Period: 475.50
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
[MEMO ITEM]

B. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Reimbursement
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D96305
Date of Disbursement: 03 / 05 / 2008
Amount of Each Disbursement this Period: 23.86
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

C. Full Name (Last, First, Middle Initial): Office Depot
Mailing Address: 151 14th Street NW
City: Atlanta | State: GA | Zip Code: 30318
Purpose of Disbursement: Office supplies
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2008 — X Primary / General / Other (specify)
Transaction ID: D96307
Date of Disbursement: 03 / 05 / 2008
Amount of Each Disbursement this Period: 23.86
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
[MEMO ITEM]

SUBTOTAL of Disbursements This Page (optional) ▶ 23.86

TOTAL This Period (last page this line number only) ▶ 58750.00

FE5AN018

FEC Schedule B (Form 3) (Revised 02/2003)

Image# 29991638466

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 9 / 24

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington — State: MD — Zip Code: 20744
Purpose of Disbursement: Campaign management consulting fee
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — X Primary — General — Other (specify)
Transaction ID: D152305
Date of Disbursement: 12 / 11 / 2008
Amount of Each Disbursement this Period: 10000.00
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B. Full Name (Last, First, Middle Initial): Faith & Politics
Mailing Address: 110 Maryland Ave NE, Ste 364
City: Washington — State: DC — Zip Code: 20002-5626
Purpose of Disbursement: Dues
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — X Primary — General — Other (specify)
Transaction ID: D152309
Date of Disbursement: 12 / 12 / 2008
Amount of Each Disbursement this Period: 5000.00
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

C. Full Name (Last, First, Middle Initial): Fiorello Consulting
Mailing Address: 3914 Barcroft Mews Court
City: Falls Church — State: VA — Zip Code: 22041
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — X Primary — General — Other (specify)
Transaction ID: D152310
Date of Disbursement: 12 / 15 / 2008
Amount of Each Disbursement this Period: 4000.00
Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) .... ▶ 19000.00

TOTAL This Period (last page this line number only) .... ▶

FE5AN018 — FEC Schedule B (Form 3) (Revised 02/2003)

EXHIBIT 5

Image# 29991943934

SCHEDULE A (FEC Form 3)
ITEMIZED RECEIPTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 70
(check only one)

11a | 11b | 11c | 11d
12 | 13a | 13b | X 14 | 15

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial)
Michael Collins

Mailing Address [redacted]

City	State	Zip Code
Fort Washington	MD	20744

FEC ID number of contributing federal political committee. C

Name of Employer: Congressman John Lewis

Occupation: Chief of Staff

Receipt For: 2010
X Primary   General
Other (specify)

Election Cycle-to-Date: 1148.72

Date of Receipt: 01 / 29 / 2009

Transaction ID: C2329250

Amount of Each Receipt this Period: 1148.72

Limit Increased Due to Opponent's Spending (2 U.S.C. 441a(i)/441a-1)

Reimbursement of credit card charges

SUBTOTAL of Receipts This Page (optional) ▶	**1148.72**
TOTAL This Period (last page this line number only) ▶	**1148.72**

FE5AN018

FECSchedule A (Form 3) (Revised 02/2003)

11-4518_021

Image# 29991943938

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 42 / 70

Any Information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A.
Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Reimbursement for storage unit rent
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D169338
Date of Disbursement: 02 / 01 / 2009
Amount of Each Disbursement this Period: 700.00
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

B.
Full Name (Last, First, Middle Initial): Democratic Congressional Campaign Committee
Mailing Address: 430 S Capitol St SE
City: Washington | State: DC | Zip Code: 20003-4024
Purpose of Disbursement: Fundraising services
Candidate Name: Democratic Congressional Campaign Committee
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D166507
Date of Disbursement: 02 / 28 / 2009
Amount of Each Disbursement this Period: 15.39
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53
* In-Kind Received

C.
Full Name (Last, First, Middle Initial): Federal Election Commission
Mailing Address: 999 E Street, NW
City: Washington | State: DC | Zip Code: 20463
Purpose of Disbursement: Settlement
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D169384
Date of Disbursement: 03 / 05 / 2009
Amount of Each Disbursement this Period: 4000.00
[ ] Refund or Disposal of Excess Contributions Required Under 11 C.F.R. 400.53

SUBTOTAL of Disbursements This Page (optional) ▶ 4715.39

TOTAL This Period (last page this line number only) ▶

FE5AN018

FEC Schedule B (Form 3) (Revised 02/2003)

11-4518_022

Image# 29993351609

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b
[ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 43 / 71

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — [X] Primary / General / Other (specify)
Transaction ID: D195849
Date of Disbursement: 08 / 19 / 2009
Amount of Each Disbursement this Period: 12000.00

B. Full Name (Last, First, Middle Initial): Democratic Congressional Campaign Committee
Mailing Address 430 S Capitol St SE
City: Washington | State: DC | Zip Code: 20003-4024
Purpose of Disbursement: Fundraising services
Candidate Name: Democratic Congressional Campaign Committee
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — [X] Primary / General / Other (specify)
Transaction ID: D189124
Date of Disbursement: 07 / 31 / 2009
Amount of Each Disbursement this Period: 8.77
* In-Kind Received

C. Full Name (Last, First, Middle Initial): Fiorello Consulting
Mailing Address 3914 Barcroft Mews Court
City: Falls Church | State: VA | Zip Code: 22041
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: House / Senate / President
State: District:
Disbursement For: 2010 — [X] Primary / General / Other (specify)
Transaction ID: D195786
Date of Disbursement: 07 / 15 / 2009
Amount of Each Disbursement this Period: 4000.00

SUBTOTAL of Disbursements This Page (optional) ▶ 16008.77

TOTAL This Period (last page this line number only) ▶

FE5AN018 — FEC **Schedule B (Form 3) (Revised 02/2009)**

Image# 10990223977

SCHEDULE B (FEC Form 3)
ITEMIZED DISBURSEMENTS

Use separate schedule(s) for each category of the Detailed Summary Page

FOR LINE NUMBER: (check only one)
[X] 17 [ ] 18 [ ] 19a [ ] 19b [ ] 20a [ ] 20b [ ] 20c [ ] 21

PAGE 57 / 83

NAME OF COMMITTEE (In Full)
John Lewis for Congress

A. Full Name (Last, First, Middle Initial): Michael Collins
Mailing Address: [redacted]
City: Fort Washington | State: MD | Zip Code: 20744
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D205112
Date of Disbursement: 12 / 14 / 2009
Amount of Each Disbursement this Period: 15000.00

B. Full Name (Last, First, Middle Initial): Fiorello Consulting
Mailing Address: 3914 Barcroft Mews Court
City: Falls Church | State: VA | Zip Code: 22041
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D205113
Date of Disbursement: 12 / 15 / 2009
Amount of Each Disbursement this Period: 4000.00

C. Full Name (Last, First, Middle Initial): Fiorello Consulting
Mailing Address: 3914 Barcroft Mews Court
City: Falls Church | State: VA | Zip Code: 22041
Purpose of Disbursement: Fundraising consulting fee
Candidate Name:
Category/Type:
Office Sought: [ ] House [ ] Senate [ ] President
State: District:
Disbursement For: 2010 [X] Primary [ ] General [ ] Other (specify)
Transaction ID: D205079
Date of Disbursement: 11 / 13 / 2009
Amount of Each Disbursement this Period: 4000.00

SUBTOTAL of Disbursements This Page (optional) .... ▶ **23000.00**

TOTAL This Period (last page this line number only) .... ▶

FE5AN018

EXHIBIT 6

Vickie Winpisinger

From: "Vickie Winpisinger" <[redacted]@comcast.net>
To: "Collins, Michael" <Michael.Collins@mail.house.gov>
Sent: Friday, February 27, 2009 3:18 PM
Subject: Re: Reimbursement

and you're going to send me those invoices, right?

> ----- Original Message -----
> **From:** Collins, Michael
> **To:** [redacted]@comcast.net
> **Sent:** Friday, February 27, 2009 2:50 PM
> **Subject:** Re: Reimbursement
>
> This was for consultants who moved campaign storage
> Two individules both were paid 350. I have invoices that both signed.
> Michael Collins
> Chief of Staff
> Office of Rep. John Lewis
>
> **From:** Vickie Winpisinger <[redacted]@comcast.net>
> **To:** Collins, Michael
> **Sent:** Fri Feb 27 14:39:32 2009
> **Subject:** Reimbursement
>
> Michael, you wrote a check to yourself for $700 for reimbursement for storage -- where is the storage? I need to itemize this.
>
> Vickie

Camp_004
11-4518_026

EXHIBIT 7

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no. John Lewis for Congress [redacted] Atlanta, GA 30331 301-947-0278	1 Rents $. 2 Royalties $	OMB No. 1545-0115 2009 Form 1099-MISC	**Miscellaneous Income**
	3 Other income $	4 Federal income tax withheld $	**Copy C** **For Payer or State Copy**
PAYER'S federal identification number [redacted] / RECIPIENT'S identification number [redacted]	5 Fishing boat proceeds $	6 Medical and health care payments $	
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code Michael Collins [redacted] Fort Washington MD 20744	7 Nonemployee compensation $ 27000.00	8 Substitute payments in lieu of dividends or interest $	For Privacy Act and Paperwork Reduction Act Notice, see the **2009 General Instructions for Forms 1099, 1098, 3921, 3922, 5498, and W-2G.**
	9 Payer made direct sales of $5,000 or more of consumer products to a buyer (recipient) for resale ► ☐	10 Crop insurance proceeds $	
	11	12	
Account number (see instructions) / 2nd TIN not. ☐	13 Excess golden parachute payments $	14 Gross proceeds paid to an attorney $	
15a Section 409A deferrals $ / 15b Section 409A income $	16 State tax withheld $ $	17 State/Payer's state no.	18 State income $ $

Form **1099-MISC** Department of the Treasury - Internal Revenue Service

MC_0016
11-4518_028

EXHIBIT 8

HAND DELIVERED

ES RESOURCE CENT
HE 2008 JUN 16 AM 11:58
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES — FORM A — Page 1 of 3

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2007 — For use by Members, officers, and employees

Michael Collins (Full Name) — 202-225-[redacted] (Daytime Telephone)

Filer Status: ☐ Member of the U.S. House of Representative — State: MD — District: — ☑ Officer Or Employee — Employing Office: Hon. John Lewis

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☐	☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☐	☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	☐	☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☐	☑
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	☐	☑

11-4518_030

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael Collins

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
400 West Peachtree Street Atlatnta, GA 30308	$250,001 - $500,000	/RENT	$1,001 - $2,500	
44 Peachtree Place Atlanta, GA 30308	$100,001 - $250,000	RENT	$1,001 - $2,500	

SCHEDULE V - LIABILITIES

Name Michael Collins

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America	Mortgage on 400 West Peachtree Street, Atlanta, GA	$100,001 - $250,000
	Bank of America	Mortgage on 44 West Peachtree PL Atlanta, GA	$100,001 - $250,000
	Wright Patman Congressional Federal Credit Union	Credit Card	$15,001 - $50,000

EXHIBIT 9

HAND DELIVERED

2009 MAY 15 PM 1:15 HE

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2008 FINANCIAL DISCLOSURE STATEMENT	FORM A For use by Members, officers, and employees	Page 1 of 5
Michael Collins (Full Name)	202-225-[redacted] (Daytime Telephone)	

Filer Status	☐ Member of the U.S. House of Representatives	State: District:	☑ Officer Or Employee	Employing Office: Hon. John Lewis
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐	No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐	No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑	No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐	No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑	No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐	No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☑	No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑	No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes ☐	No ☑

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael Collins

BLOCK A **Asset and/or Income Source**	BLOCK B **Year-End Value of Asset**	BLOCK C **Type of Income**	BLOCK D **Amount of Income**	BLOCK E **Transaction**
Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
1729 Felwood Street Fort Washington, MD	$250,001 - $500,000	RENT	$201 - $1,000	
400 Peachtree Street Atlanta, GA	$100,001 - $250,000	RENT	$1,001 - $2,500	
44 W. Peachtree street Atlanta, GA	$100,001 - $250,000	RENT	$1,001 - $2,500	

SCHEDULE V - LIABILITIES

Name Michael Collins

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Wright Patman Congressional Federal Credit Union	Visa Credit Card	$10,001 - $15,000
	Bank of America	Mortgage on 44 W. Peachtree Place, Atlanta, GA	$100,001 - $250,000
	Bank of America	Mortgage on 400 Peachtree Street, Atlanta, GA	$100,001 - $250,000
	American Servicing Company (ASC)	Mortgage on 1729 Felwood Street, Fort Washington, MD 20744	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael Collins

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
MLk Task Force	Jan. 20-21	Atlanta-Rock Hill, SC-Alanta	Y	Y	N	None
Parson Corportions	Jan. 25-26	Atlanta-Tuscan, AZ-Atlanta	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Michael Collins

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Fatih and Politics

EXHIBIT 10

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A

For use by Members, officers, and employees

Page 1 of 5

LEGISLATIVE RESOURCE CENTER
2010 MAY 17 PM 2:19

HE

Michael Collins
(Full Name)

202-225-[redacted]
(Daytime Telephone)

(Office Use Only)

HAND DELIVERED

A $200 penalty shall be assessed against anyone who files more than 30 days late.

Filer Status	☐ Member of the U.S. House of Representative — State: — District:	☑ Officer Or Employee — Employing Office: Hon. John Lewis
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes ☑ No ☐

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Michael Collins

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
400 W. Peachtreet Street	$100,001 - $250,000	RENT	$1,001 - $2,500	
44 Peachtree Pl	$100,001 - $250,000	RENT	$1,001 - $2,500	

SCHEDULE V - LIABILITIES

Name Michael Collins

Report liabilities of over $10,000 owed to any one creditor at any time during the *reporting period* by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Bank of America	Mortgage on 400 W. Peachtree Street, Atlanta, GA	$100,001 - $250,000
	Bank of America	Mortgage on 44 W. Peachtree Place, Atlanta, GA	$100,001 - $250,000
	ACS	Mortgage on 1729 Felwood Street, Fort Washington, MD 20744	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Michael Collins

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
San Diego	April 19-20	ATL-San Diego, CA-ATL	Y	Y	N	None
Emerson Colleg	May 17-18	ATL-Boston, MA-DC	Y	Y	N	None
Sadie Grice Funny Scholarship	Aug. 29-30	DC-Myrtle Beach,SC-ATL	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Michael Collins

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Faith and Politics

EXHIBIT 11

MC_0023

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2011 MAR 11 PM 12:18

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

ETHICS IN GOVERNMENT ACT

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

Please provide the following information. Your address and signature WILL NOT be made available to the public.

Michael Collins
(Print Full Name)

202-225-[redacted]
(Daytime Telephone)

[redacted] fort Washington, MD 20744
(Complete Address -- Office or Home)

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

The attached Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file the attached report may be subject to civil penalties and criminal sanctions (See U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date *(Month, Day, Year)*
I CERTIFY that the statements I have made on the attached financial disclosure statement and all attached schedules are true, complete, and correct to the best of my knowledge and belief.		3/11/2011

11-4518_046

MC_0024

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2011 MAR 11 PM 12:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A — For use by Members, officers, and employees — Page 0 of 0

Michael Collins — (Full Name)
202-225-7780- — (Daytime Telephone)

(Office Use Only)

Filer Status	☐ Member of the U.S. House of Representatives — State: — District:	☑ Officer Or Employee — Employing Office: Hon. John Lewis
Report Type	☐ Annual (May 15) ☑ Amendment ☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	☑	☐
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	☐	☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	☑	☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	☐	☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	☑	☐
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	☐	☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $335 from one source)? If yes, complete and attach Schedule VII.	☑	☐
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	☑	☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	☐	☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	☐	☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	☐	☑

MC_0025

SCHEDULE I - EARNED INCOME

Name Michael Collins

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
John Lewis for Congress	salary	$27,000

EXHIBIT 12

Form **1040** Department of the Treasury - Internal Revenue Service
U.S. Individual Income Tax Return **2009** (99) IRS Use Only-Do not write or staple in this space.

For the year Jan. 1-Dec. 31, 2009, or other tax year beginning , 2009, ending , 20 OMB No. 1545-0074

Label (See instructions on page 14.) Use the IRS label. Otherwise, please print or type.

Your first name and initial: MICHAEL	Last name: COLLINS	Your social security number: [redacted]
If a joint return, spouse's first name and initial	Last name	Spouse's social security number
Home address (number and street). If you have a P.O. box, see page 14. [redacted]	Apt. no.	▲ You must enter your SSN(s) above. ▲
City, town or post office, state, and ZIP code. If you have a foreign address, see page 14. Fort Washington MD 20744-0000		Checking a box below will not change your tax or refund.

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 14) ▶ ☐ You ☐ Spouse

Filing Status Check only one box.
1 [X] Single
2 ☐ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See page 15.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child (see page 16)

Exemptions
6a [X] Yourself. If someone can claim you as a dependent, do not check box 6a
b ☐ Spouse
} Boxes checked on 6a and 6b: 1

c Dependents:

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) Check if qualifying child for child tax credit (see pg17)
			☐
			☐
			☐
			☐

If more than four dependents, see page 17 and check here ▶☐

No. of children on 6c who: ● lived with you ● did not live with you due to divorce or separation (see page 18)
Dependents on 6c not entered above
d Total number of exemptions claimed — Add numbers on lines above ▶ 1

Income			
7	Wages, salaries, tips, etc. Attach Form(s) W-2	7	151,078
8a	Taxable interest. Attach Schedule B if required	8a	74
b	Tax-exempt interest. Do not include on line 8a	8b	
9a	Ordinary dividends. Attach Schedule B if required	9a	
b	Qualified dividends (see page 22)	9b	
10	Taxable refunds, credits, or offsets of state and local income taxes (see page 23)	10	4,436
11	Alimony received	11	
12	Business income or (loss). Attach Schedule C or C-EZ	12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐	13	
14	Other gains or (losses). Attach Form 4797	14	
15a	IRA distributions 15a / b Taxable amount (see page 24)	15b	
16a	Pensions and annuities 16a / b Taxable amount (see page 25)	16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E	17	(13,088)
18	Farm income or (loss). Attach Schedule F	18	
19	Unemployment compensation in excess of $2,400 per recipient (see page 27)	19	
20a	Social security benefits 20a / b Taxable amount (see page 27)	20b	
21	Other income	21	
22	Add the amounts in the far right column for lines 7 through 21. This is your total income ▶	22	142,500
Adjusted Gross Income			
23	Educator expenses (see page 29)	23	
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24	
25	Health savings account deduction. Attach Form 8889	25	
26	Moving expenses. Attach Form 3903	26	
27	One-half of self-employment tax. Attach Schedule SE	27	
28	Self-employed SEP, SIMPLE, and qualified plans	28	
29	Self-employed health insurance deduction (see page 30)	29	
30	Penalty on early withdrawal of savings	30	
31a	Alimony paid b Recipient's SSN ▶	31a	
32	IRA deduction (see page 31)	32	
33	Student loan interest deduction (see page 34)	33	
34	Tuition and fees deduction. Attach Form 8917	34	
35	Domestic production activities deduction. Attach Form 8903	35	
36	Add lines 23 through 31a and 32 through 35	36	
37	Subtract line 36 from line 22. This is your adjusted gross income ▶	37	142,500

Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see page 22.
Enclose, but do not attach, any payment. Also, please use Form 1040-V.

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 97. EEA Form 1040 (2009)

MC_0007
11-4518_050

Form 1040 (2009) MICHAEL COLLINS [redacted] Page 2

Tax and Credits	38	Amount from line 37 (adjusted gross income)	38	142,500
	39a	Check if: { You were born before January 2, 1945, ☐ Blind. / Spouse was born before January 2, 1945, ☐ Blind. } Total boxes checked ▶ 39a		
Standard Deduction for—	b	If your spouse itemizes on a separate return or you were a dual-status alien, see pg 36 and check here ▶ 39b ☐		
	40a	Itemized deductions (from Schedule A) or your standard deduction (see left margin)	40a	93,088
• People who check any box on line 39a, 39b, or 40b or who can be claimed as a dependent, see page 35.	b	If you are increasing your standard deduction by certain real estate taxes, new motor vehicle taxes, or a net disaster loss, attach Schedule L and check here (see page 35) ▶ 40b ☐		
	41	Subtract line 40a from line 38	41	49,412
	42	Exemptions. If line 38 is $125,100 or less and you did not provide housing to a Midwestern displaced individual, multiply $3,650 by the number on line 6d. Otherwise, see page 37	42	3,650
	43	Taxable income. Subtract line 42 from line 41. If line 42 is more than line 41, enter -0-	43	45,762
• All others:	44	Tax (see page 37). Check if any tax is from: a ☐ Form(s) 8814 b ☐ Form 4972	44	7,631
Single or Married filing separately, $5,700	45	Alternative minimum tax (see page 40). Attach Form 6251	45	
	46	Add lines 44 and 45 ▶	46	7,631
	47	Foreign tax credit. Attach Form 1116 if required	47	
Married filing jointly or Qualifying widow(er), $11,400	48	Credit for child and dependent care expenses. Attach Form 2441	48	
	49	Education credits from Form 8863, line 29	49	
	50	Retirement savings contributions credit. Attach Form 8880	50	
	51	Child tax credit (see page 42)	51	0
Head of household, $8,350	52	Credits from Form: a ☐ 8396 b ☐ 8839 c ☐ 5695	52	
	53	Other credits from Form: a ☐ 3800 b ☐ 8801 c ☐	53	
	54	Add lines 47 through 53. These are your total credits	54	
	55	Subtract line 54 from line 46. If line 54 is more than line 46, enter -0- ▶	55	7,631
Other Taxes	56	Self-employment tax. Attach Schedule SE	56	
	57	Unreported social security and Medicare tax from Form: a ☐ 4137 b ☐ 8919	57	
	58	Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required	58	
	59	Additional taxes: a ☐ AEIC payments b ☐ Household employment taxes. Attach Sch. H	59	
	60	Add lines 55 through 59. This is your total tax ▶	60	7,631
Payments	61	Federal income tax withheld from Forms W-2 and 1099	61	32,340
	62	2009 estimated tax payments and amount applied from 2008 return	62	
If you have a qualifying child, attach Schedule EIC.	63	Making work pay and government retiree credits. Attach Schedule M	63	0
	64a	Earned income credit (EIC)	64a	
	b	Nontaxable combat pay election 64b		
	65	Additional child tax credit. Attach Form 8812	65	
	66	Refundable education credit from Form 8863, line 16	66	
	67	First-time homebuyer credit. Attach Form 5405	67	
	68	Amount paid with request for extension to file (see page 72)	68	
	69	Excess social security and tier 1 RRTA tax withheld (see page 72)	69	
	70	Credits from Form: a ☐ 2439 b ☐ 4136 c ☐ 8801 d ☐ 8885	70	
	71	Add lines 61, 62, 63, 64a, and 65 through 70. These are your total payments ▶	71	32,340
Refund	72	If line 71 is more than line 60, subtract line 60 from line 71. This is the amount you overpaid	72	24,709
Direct deposit? See page 73 and fill in 73b, 73c, and 73d, or Form 8888.	73a	Amount of line 72 you want refunded to you. If Form 8888 is attached, check here ▶ ☐	73a	24,709
	▶ b	Routing number [redacted] ▶ c Type: ☒ Checking ☐ Savings		
	▶ d	Account number [redacted]		
	74	Amount of line 72 you want applied to your 2010 estimated tax ▶	74	
Amount You Owe	75	Amount you owe. Subtract line 71 from line 60. For details on how to pay, see page 74 ▶	75	
	76	Estimated tax penalty (see page 74)	76	

Third Party Designee Do you want to allow another person to discuss this return with the IRS (see page 75)? ☐ Yes. Complete the following. ☒ No
Designee's name ▶ Phone no. ▶ Personal identification number (PIN) ▶

Sign Here Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
Joint return? See page 15. Keep a copy for your records.
Your signature [redacted] M. Collins | Date 02-27-2010 | Your occupation Chief of Staff | Daytime phone number 202-225-[redacted]
Spouse's signature. If a joint return, both must sign. | Date | Spouse's occupation

Paid Preparer's Use Only
Preparer's signature ▶ | Date 02-27-2010 | Check if self-employed ☒ | Preparer's SSN or PTIN [redacted]
Firm's name (or yours if self-employed), address, and ZIP code ▶ SAKYI & ASSOCIATES [redacted] | EIN
WASHINGTON DC 20017-2630 Phone no. 202-347-[redacted]

EEA Form 1040 (2009)

MC_0008
11-4518_051

SCHEDULE A (Form 1040) — **Itemized Deductions** — OMB No. 1545-0074 — **2009**

Department of the Treasury Internal Revenue Service (99) — ▶ Attach to Form 1040. ▶ See Instructions for Schedule A (Form 1040). — Attachment Sequence No. 07

Name(s) shown on Form 1040: MICHAEL COLLINS — Your social security number: [redacted]

Medical and Dental Expenses		Caution. Do not include expenses reimbursed or paid by others.				
	1	Medical and dental expenses (see page A-1)	1			
	2	Enter amount from Form 1040, line 38 [2]				
	3	Multiply line 2 by 7.5% (.075)	3			
	4	Subtract line 3 from line 1. If line 3 is more than line 1, enter -0-			4	
Taxes You Paid (See page A-2.)	5	State and local (check only one box): a [X] Income taxes, or b [ ] General sales taxes	5	11,391		
	6	Real estate taxes (see page A-5)	6	9,098		
	7	New motor vehicle taxes from line 11 of the worksheet on page 2. Skip this line if you checked box 5b	7			
	8	Other taxes. List type and amount ▶	8			
	9	Add lines 5 through 8			9	20,489
Interest You Paid (See page A-6.)	10	Home mortgage interest and points reported to you on Form 1098	10	64,799		
Note. Personal interest is not deductible.	11	Home mortgage interest not reported to you on Form 1098. If paid to the person from whom you bought the home, see page A-7 and show that person's name, identifying no., and address ▶	11			
	12	Points not reported to you on Form 1098. See page A-7 for special rules	12			
	13	Qualified mortgage insurance premiums (see page A-7)	13			
	14	Investment interest. Attach Form 4952 if required. (See page A-8.)	14			
	15	Add lines 10 through 14			15	64,799
Gifts to Charity	16	Gifts by cash or check. If you made any gift of $250 or more, see page A-8	16	6,600		
If you made a gift and got a benefit for it, see page A-8.	17	Other than by cash or check. If any gift of $250 or more, see page A-8. You must attach Form 8283 if over $500	17	1,200		
	18	Carryover from prior year	18			
	19	Add lines 16 through 18			19	7,800
Casualty and Theft Losses	20	Casualty or theft loss(es). Attach Form 4684. (See page A-10.)			20	
Job Expenses and Certain Miscellaneous Deductions (See page A-10.)	21	Unreimbursed employee expenses - job travel, union dues, job education, etc. Attach Form 2106 or 2106-EZ if required. (See page A-10.) ▶	21			
	22	Tax preparation fees	22			
	23	Other expenses - investment, safe deposit box, etc. List type and amount ▶	23			
	24	Add lines 21 through 23	24			
	25	Enter amount from Form 1040, line 38 [25]				
	26	Multiply line 25 by 2% (.02)	26			
	27	Subtract line 26 from line 24. If line 26 is more than line 24, enter -0-			27	
Other Miscellaneous Deductions	28	Other - from list on page A-11. List type and amount ▶			28	
Total Itemized Deductions	29	Is Form 1040, line 38, over $166,800 (over $83,400 if married filing separately)? [X] No. Your deduction is not limited. Add the amounts in the far right column for lines 4 through 28. Also, enter this amount on Form 1040, line 40a. [ ] Yes. Your deduction may be limited. See page A-11 for the amount to enter.			29	93,088
	30	If you elect to itemize deductions even though they are less than your standard deduction, check here ▶ [ ]				

For Paperwork Reduction Act Notice, see Form 1040 instructions. EEA Schedule A (Form 1040) 2009

Schedule E (Form 1040) 2009 Attachment Sequence No. 13 Page 2

Name(s) shown on return. Do not enter name and social security number if shown on page 1.
MICHAEL COLLINS

Your social security number [redacted]

Caution. The IRS compares amounts reported on your tax return with amounts shown on Schedule(s) K-1.

Part II Income or Loss From Partnerships and S Corporations

Note. If you report a loss from an at-risk activity for which any amount is not at risk, you must check the box in column (e) on line 28 and attach Form 6198. See page E-1.

27 Are you reporting any loss not allowed in a prior year due to the at-risk or basis limitations, a prior year unallowed loss from a passive activity (if that loss was not reported on Form 8582), or unreimbursed partnership expenses? If you answered "Yes," see page E-7 before completing this section. ☐ Yes ☒ No

28	(a) Name	(b) Enter P for partnership; S for S corporation	(c) Check if foreign partnership	(d) Employer identification number	(e) Check if any amount is not at risk
A	DRC PRPPERTY MANAGEMENT	S			
B					
C					
D					

	Passive Income and Loss		Nonpassive Income and Loss		
	(f) Passive loss allowed (attach Form 8582 if required)	(g) Passive income from Schedule K-1	(h) Nonpassive loss from Schedule K-1	(i) Section 179 expense deduction from Form 4562	(j) Nonpassive income from Schedule K-1
A	13,088		0		
B					
C					
D					
29a Totals					
b Totals	13,088				

30	Add columns (g) and (j) of line 29a	30	
31	Add columns (f), (h), and (i) of line 29b	31	(13,088)
32	**Total partnership and S corporation income or (loss).** Combine lines 30 and 31. Enter the result here and include in the total on line 41 below	32	(13,088)

Part III Income or Loss From Estates and Trusts

33	(a) Name	(b) Employer identification number
A		
B		

	Passive Income and Loss		Nonpassive Income and Loss	
	(c) Passive deduction or loss allowed (attach Form 8582 if required)	(d) Passive income from Schedule K-1	(e) Deduction or loss from Schedule K-1	(f) Other income from Schedule K-1
A				
B				
34a Totals				
b Totals				

35	Add columns (d) and (f) of line 34a	35	
36	Add columns (c) and (e) of line 34b	36	( )
37	**Total estate and trust income or (loss).** Combine lines 35 and 36. Enter the result here and include in the total on line 41 below	37	

Part IV Income or Loss From Real Estate Mortgage Investment Conduits (REMICs) - Residual Holder

38	(a) Name	(b) Employer identification number	(c) Excess inclusion from Schedules Q, line 2c (see page E-8)	(d) Taxable income (net loss) from Schedules Q, line 1b	(e) Income from Schedules Q, line 3b

39	Combine columns (d) and (e) only. Enter the result here and include in the total on line 41 below	39	

Part V Summary

40	Net farm rental income or (loss) from Form 4835. Also, complete line 42 below	40	
41	**Total income or (loss).** Combine lines 26, 32, 37, 39, & 40. Enter the result here & on Form 1040, ln 17, or Form 1040NR, ln 18 ▶	41	(13,088)
42	**Reconciliation of farming and fishing income.** Enter your gross farming and fishing income reported on Form 4835, line 7; Schedule K-1 (Form 1065), box 14, code B; Schedule K-1 (Form 1120S), box 17, code U; and Schedule K-1 (Form 1041), line 14, code F (see page E-8)	42	
43	**Reconciliation for real estate professionals.** If you were a real estate professional (see page E-2), enter the net income or (loss) you reported anywhere on Form 1040 or Form 1040NR from all rental real estate activities in which you materially participated under the passive activity loss rules	43	

EEA Schedule E (Form 1040) 2009

MC_0010
11-4518_053

Form **8863**

Department of the Treasury
Internal Revenue Service (99)

Education Credits (American Opportunity, Hope, and Lifetime Learning Credits)

▶ See separate instructions to find out if you are eligible to take the credits.
▶ Attach to Form 1040 or Form 1040A.

OMB No. 1545-0074
2009
Attachment Sequence No. 50

Name(s) shown on return: MICHAEL COLLINS
Your social security number: [redacted]

Caution: You cannot take both an education credit and the tuition and fees deduction (see Form 8917) for the same student for the same year.

Part I American Opportunity Credit

Use Part II if you are claiming the Hope credit for a student attending school in a Midwestern disaster area. If you use Part II, you cannot use Part I for any student.

Caution: You cannot take the American opportunity credit for more than 4 tax years for the same student.

1 (a) Student's name (as shown on page 1 of your tax return) First name Last name	(b) Student's social security number (as shown on page 1 of your tax return)	(c) Qualified expenses (see instructions). Do not enter more than $4,000 for each student.	(d) Subtract $2,000 from the amount in column (c). If zero or less, enter -0-.	(e) Multiply the amount in column (d) by 25% (.25)	(f) If column (d) is zero, enter the amount from column (c). Otherwise, add $2,000 to the amount in column (e).
MICHAEL COLLINS	[redacted]	654			654

2 Tentative American opportunity credit. Add the amounts on line 1, column (f). Skip Part II if line 2 is more than zero. If you are taking the lifetime learning credit for a different student, go to Part III; otherwise, go to Part IV ▶ 2 654

Part II Hope Credit

Use this part if you are claiming the Hope credit for a student attending school in a Midwestern disaster area and elect to waive the computation method in Part I for all students.

Caution: You cannot take the Hope credit for more than 2 tax years for the same student.

3 (a) Student's name (as shown on page 1 of your tax return) First name Last name	(b) Student's social security number (as shown on page 1 of your tax return)	(c) Qualified expenses (see instructions). Do not enter more than $2,400* for each student.	(d) Enter the smaller of the amount in column (c) or $1,200**	(e) Add column (c) and column (d)	(f) Enter one-half of the amount in column (e)

* For each student who attended an eligible educational institution in a Midwestern disaster area, do not enter more than $4,800.
** For each student who attended an eligible educational institution in a Midwestern disaster area, enter the smaller of the amount in column (c) or $2,400.

4 Tentative Hope credit. Add the amounts on line 3, column (f). If you are taking the lifetime learning credit for another student, go to Part III; otherwise, go to Part V ▶ 4

Part III Lifetime Learning Credit. Caution: You cannot take the American opportunity credit or the Hope credit and the lifetime learning credit for the same student in the same year.

5 (a) Student's name (as shown on page 1 of your tax return) First name	Last name	(b) Student's social security number (as shown on page 1 of your tax return)	(c) Qualified expenses (see instructions)

6	Add the amounts on line 5, column (c), and enter the total	6	
7a	Enter the smaller of line 6 or $10,000	7a	
b	For students who attended an eligible educational institution in a Midwestern disaster area, enter the smaller of $10,000 or their qualified expenses included on line 6 (see special rules on page 3 of the instructions)	7b	
c	Subtract line 7b from line 7a	7c	
8a	Multiply line 7b by 40% (.40)	8a	
b	Multiply line 7c by 20% (.20)	8b	
c	Tentative lifetime learning credit. Add lines 8a and 8b. If you have an entry on line 2, go to Part IV; otherwise go to Part V	8c	

Part IV Refundable American Opportunity Credit

9	Enter the amount from line 2			9	654
10	Enter: $180,000 if married filing jointly; $90,000 if single, head of household, or qualifying widow(er)	10	90,000		
11	Enter the amount from Form 1040, line 38,* or Form 1040A, line 22	11	142,500		
12	Subtract line 11 from line 10. If zero or less, **stop**; you cannot take any education credit	12			
13	Enter: $20,000 if married filing jointly; $10,000 if single, head of household, or qualifying widow(er)	13			
14	If line 12 is: • Equal to or more than line 13, enter 1.000 on line 14 • Less than line 13, divide line 12 by line 13. Enter the result as a decimal (rounded to at least three places)			14	
15	Multiply line 9 by line 14. **Caution:** If you were under age 24 at the end of the year and meet the conditions on page 5 of the instructions, you cannot take the refundable American opportunity credit. Skip line 16, enter the amount from line 15 on line 17, and check this box ▶ ☐			15	
16	**Refundable American opportunity credit.** Multiply line 15 by 40% (.40). Enter the amount here and on Form 1040, line 66, or Form 1040A, line 43. Then go to line 17 below			16	0

Part V Nonrefundable Education Credits

17	Subtract line 16 from line 15			17	
18	Add line 4 and line 8c. If you have no entry on these lines, skip lines 19 through 24, and enter the amount from line 17 on line 25			18	
19	Enter: $120,000 if married filing jointly; $60,000 if single, head of household, or qualifying widow(er)	19			
20	Enter the amount from Form 1040, line 38,* or Form 1040A, line 22	20			
21	Subtract line 20 from line 19. If zero or less, skip lines 22 and 23, and enter zero on line 24	21			
22	Enter: $20,000 if married filing jointly; $10,000 if single, head of household, or qualifying widow(er)	22			
23	If line 21 is: • Equal to or more than line 22, enter the amount from line 18 on line 24 and go to line 25 • Less than line 22, divide line 21 by line 22. Enter the result as a decimal (rounded to at least three places)			23	
24	Multiply line 18 by line 23 ▶			24	
25	Add line 17 and line 24. If zero, **stop**; you cannot take any nonrefundable education credit			25	
26	Enter the amount from Form 1040, line 46, or Form 1040A, line 28			26	
27	Enter the total, if any, of your credits from: • Form 1040, lines 47, 48, and the amount from Schedule R entered on line 53 • Form 1040A, lines 29 and 30			27	
28	Subtract line 27 from line 26. If zero or less, **stop**; you cannot take any nonrefundable education credit			28	
29	**Nonrefundable education credits.** Enter the **smaller** of line 25 or line 28 here and on Form 1040, line 49, or Form 1040A, line 31			29	0

*If you are filing Form 2555, 2555-EZ, or 4563, or you are excluding income from Puerto Rico, see Pub. 970 for the amount to enter.

Form **8283** (Rev. December 2006) Department of the Treasury Internal Revenue Service

Noncash Charitable Contributions

▶ Attach to your tax return if you claimed a total deduction of over $500 for all contributed property.

▶ See separate instructions.

OMB No. 1545-0908

Attachment Sequence No. 155

Name(s) shown on your income tax return: MICHAEL COLLINS

Identifying number: [redacted]

Note. Figure the amount of your contribution deduction before completing this form. See your tax return instructions.

Section A. Donated Property of $5,000 or Less and Certain Publicly Traded Securities - List in this section only items (or groups of similar items) for which you claimed a deduction of $5,000 or less. Also, list certain publicly traded securities *even if the deduction is more than $5,000 (see instructions).*

Part I Information on Donated Property - If you need more space, attach a statement.

1	(a) Name and address of the donee organization	(b) Description of donated property (For a donated vehicle, enter the year, make, model, condition and mileage, and attach Form 1098-C if required.)
A	PURPLE HEART Hanover MD 21076	CLOTHES
B		
C		
D		
E		

Note. If the amount you claimed as a deduction for an item is $500 or less, you do not have to complete columns (d), (e), and (f).

	(c) Date of the contribution	(d) Date acquired by donor (yr/mo.)	(e) How acquired by donor	(f) Donor's cost or adjusted basis	(g) Fair market value (see instructions)	(h) Method used to determine the fair market value
A	2009-01-30	2008-01	PURCHASED	4,650	1,200	THRIFT SHOP VALUE
B						
C						
D						
E						

Part II Partial Interests and Restricted Use Property - Complete lines 2a through 2e if you gave less than an entire interest in a property listed in Part I. Complete lines 3a through 3c if conditions were placed on a contribution listed in Part I; also attach the required statement (see instructions).

2a Enter the letter from Part I that identifies the property in which you gave less than an entire interest ▶ ______
If Part II applies to more than one property, attach a separate statement.

b Total amount claimed as a deduction for the property listed in Part I: (1) For this tax year ▶ ______
(2) For any prior tax years ▶ ______

c Name and address of each organization to which any such contribution was made in a prior year (complete only if different from the donee organization above):

Name of charitable organization (donee)

Address (number, street, and room or suite no.)

City or town, state, and ZIP code

d For tangible property, enter the place where the property is located or kept ▶ ______

e Name of any person, other than the donee organization, having actual possession of the property ▶ ______

		Yes	No
3a	Is there a restriction, either temporary or permanent, on the donee's right to use or dispose of the donated property?		
b	Did you give to anyone (other than the donee organization or another organization participating with the donee organization in cooperative fundraising) the right to the income from the donated property or to the possession of the property, including the right to vote donated securities, to acquire the property by purchase or otherwise, or to designate the person having such income, possession, or right to acquire?		
c	Is there a restriction limiting the donated property for a particular use?		

For Paperwork Reduction Act Notice, see separate instructions. EEA Form **8283** (Rev. 12 2006)

Form **8879** | **IRS e-file Signature Authorization** | OMB No. 1545-0074

Department of the Treasury Internal Revenue Service

▶ Do not send to the IRS. This is not a tax return.

▶ Keep this form for your records. See instructions.

2009

Declaration Control Number (DCN) ▶ [redacted]

Taxpayer's name: MICHAEL COLLINS — Social security number: [redacted]

Spouse's name — Spouse's social security number

Part I — Tax Return Information - Tax Year Ending December 31, 2009 (Whole Dollars Only)

1	Adjusted gross income (Form 1040, line 38; Form 1040A, line 22; Form 1040EZ, line 4)	1	142,500
2	Total tax (Form 1040, line 60; Form 1040A, line 37; Form 1040EZ, line 11)	2	7,631
3	Federal income tax withheld (Form 1040, line 61; Form 1040A, line 38; Form 1040EZ, line 7)	3	32,340
4	Refund (Form 1040, line 73a; Form 1040A, line 48a; Form 1040EZ, line 12a; Form 1040-SS, Part I, line 13a)	4	24,709
5	Amount you owe (Form 1040, line 75; Form 1040A, line 48; Form 1040EZ, line 13)	5	

Part II — Taxpayer Declaration and Signature Authorization (Be sure you get and keep a copy of your return)

Under penalties of perjury, I declare that I have examined a copy of my electronic individual income tax return and accompanying schedules and statements for the tax year ending December 31, 2009, and to the best of my knowledge and belief, it is true, correct, and complete. I further declare that the amounts in Part I above are the amounts from my electronic income tax return. I consent to allow my intermediate service provider, transmitter, or electronic return originator (ERO) to send my return to the IRS and to receive from the IRS (a) an acknowledgement of receipt or reason for rejection of the transmission, (b) an indication of any refund offset, (c) the reason for any delay in processing the return or refund and (d) the date of any refund. If applicable, I authorize the U.S. Treasury and its designated Financial Agent to initiate an ACH electronic funds withdrawal (direct debit) entry to the financial institution account indicated in the tax preparation software for payment of my Federal taxes owed on this return and/or a payment of estimated tax, and the financial institution to debit the entry to this account. I further understand that this authorization may apply to future Federal tax payments that I direct to be debited through the Electronic Federal Tax Payment System (EFTPS). In order for me to initiate future payments, I request that the IRS send me a personal identification number (PIN) to access EFTPS. This authorization is to remain in full force and effect until I notify the U.S. Treasury Financial Agent to terminate the authorization. To revoke a payment, I must contact the U.S. Treasury Financial Agent at 1-888-353-4537 no later than 2 business days prior to the payment (settlement) date. I also authorize the financial institutions involved in the processing of the electronic payment of taxes to receive confidential information necessary to answer inquiries and resolve issues related to the payment. I further acknowledge that the personal identification number (PIN) below is my signature for my electronic income tax return and, if applicable, my Electronic Funds Withdrawal Consent.

Taxpayer's PIN: check one box only RTN= [redacted] Acct= [redacted]

X I authorize SAKYI & ASSOCIATES (ERO firm name) to enter or generate my PIN [redacted] (Enter five numbers, but do not enter all zeros) as my signature on my tax year 2009 electronically filed income tax return.

☐ I will enter my PIN as my signature on my tax year 2009 electronically filed income tax return. Check this box only if you are entering your own PIN and your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Your signature ▶ ____________ Date ▶ ____________

Spouse's PIN: check one box only

☐ I authorize ____________ (ERO firm name) to enter or generate my PIN ______ (Enter five numbers, but do not enter all zeros) as my signature on my tax year 2009 electronically filed income tax return.

☐ I will enter my PIN as my signature on my tax year 2009 electronically filed income tax return. Check this box only if you are entering your own PIN and your return is filed using the Practitioner PIN method. The ERO must complete Part III below.

Spouse's signature ▶ ____________ Date ▶ ____________

Practitioner PIN Method Returns Only - continue below

Part III — Certification and Authentication - Practitioner PIN Method Only

ERO's EFIN/PIN. Enter your six-digit EFIN followed by your five-digit self-selected PIN. [redacted] (do not enter all zeros)

I certify that the above numeric entry is my PIN, which is my signature for the tax year 2009 electronically filed income tax return for the taxpayer(s) indicated above. I confirm that I am submitting this return in accordance with the requirements of the Practitioner PIN method and Publication 1345, Handbook for Authorized IRS e-file Providers of Individual Income Tax Returns.

ERO's signature ▶ [signature] Date ▶ 02-27-2010

ERO Must Retain This Form - See Instructions

Do Not Submit This Form to the IRS Unless Requested To Do So

For Paperwork Reduction Act Notice, see instructions. EEA Form 8879 (2009)

EXHIBIT 13

Form **1040X** (Rev. January 2010)	Department of the Treasury - Internal Revenue Service **Amended U.S. Individual Income Tax Return** ▶ See separate instructions.	OMB No. 1545-0074

Your first name and middle initial	Your last name	Your social security number
MICHAEL	COLLINS	[redacted]
If a joint return, your spouse's first name and middle initial	Your spouse's last name	Your spouse's SSN

Your current home address (number and street). If you have a P.O. box, see page 5 of the instructions.	Apt. no.	Your phone number
[redacted]		202-225-[redacted]

Your city, town or post office, state, and ZIP code. If you have a foreign address, see page 5 of the instructions.

Fort Washington, MD 20744-0000

All filers must complete lines A, B, and C.

A **Amended return filing status.** You must check one box even if you are not changing your filing status. **Caution.** You cannot change your filing status from joint to separate returns after the due date.

[X] Single [ ] Married filing jointly [ ] Married filing separately
[ ] Qualifying widow(er) [ ] Head of household (if the qualifying person is a child but not your dependent, see page 5 of instructions.)

B **This return is for calendar year** [X] 2009 [ ] 2008 [ ] 2007 [ ] 2006
Other year. Enter one: calendar year or fiscal year (month and year ended):

C **Explanation of changes.** In the space provided below, tell us why you are filing Form 1040X.

THE AMENDED RETURN IS TO REPORT THE 1099 MISCELLANEOUS INCOME THAT WAS OMITTED ON THE ORIGINAL RETURN

		Line	Correct Amount
Income and Deductions			
1	Adjusted gross income (see page 6 of instructions). If net operating loss (NOL) carryback is included, check here [ ]	1	169,500
2	Itemized deductions or standard deduction (see page 6 of instructions)	2	93,061
3	Subtract line 2 from line 1	3	76,439
4	Exemptions. **If changing, complete the Exemptions section on the back and enter the amount from line 30** (see page 6 of instructions)	4	3,601
5	Taxable income. Subtract line 4 from line 3	5	72,838
Tax Liability			
6	Tax (see page 7 of instructions). Enter method used to figure tax: TABLES	6	14,394
7	Credits (see page 8 of instructions). If general business credit carryback is included, check here [ ]	7	
8	Subtract line 7 from line 6. If the result is zero or less, enter -0-	8	14,394
9	Other taxes (see page 8 of instructions)	9	
10	Total tax. Add lines 8 and 9	10	14,394
Payments			
11	Federal income tax withheld and excess social security and tier 1 RRTA tax withheld (**if changing**, see page 8 of instructions)	11	32,340
12	Estimated tax payments, including amount applied from prior year's return (see page 8 of instructions)	12	
13	Earned income credit (EIC) (see page 8 of instructions)	13	
14	Refundable credits from [ ] Schedule M or Form(s) [ ] 2439 [ ] 4136 [ ] 5405 [ ] 8801 [ ] 8812 [ ] 8863 [ ] 8885 or [ ] other (specify):	14	
15	Total amount paid with request for extension of time to file, tax paid with original return, and additional tax paid after return was filed (see page 9 of instructions)	15	
16	Total payments. Add lines 11 through 15	16	32,340
Refund or Amount You Owe (Note. Allow 8-12 weeks to process Form 1040X.)			
17	Overpayment, if any, as shown on original return or as previously adjusted by the IRS (see page 9 of instructions)	17	24,709
18	Subtract line 17 from line 16 (If less than zero, see page 9 of instructions)	18	7,631
19	**Amount you owe.** If line 10 is more than line 18, enter the difference (see page 9 of instructions)	19	6,763
20	If line 10 is less than line 18, enter the difference. This is the amount **overpaid** on this return	20	
21	Amount of line 20 you want **refunded to you**	21	
22	Amount of line 20 you want **applied to your (enter year:)** estimated tax 22		

Complete and sign this form on Page 2.

For Paperwork Reduction Act Notice, see page 11 of instructions. EEA Form 1040X (Rev. 01-2010)

Form 1040X (Rev. 01-2010) Page 2

Exemptions

Complete this part **only** if you are:

Increasing or decreasing the number of exemptions (personal and dependents) claimed on line 6d of the return you are amending, or
Increasing or decreasing the exemption amount for housing individuals displaced by Hurricane Katrina or a Midwestern disaster.

See Form 1040 or Form 1040A instructions and page 10 of Form 1040X instructions.

			Correct Number or Amount
23	Yourself and spouse. **Caution.** If someone can claim you as a dependent, you cannot claim an exemption for yourself	23	
24	Your dependent children who lived with you	24	
25	Your dependent children who did not live with you due to divorce or separation	25	
26	Other dependents	26	
27	Total number of exemptions. Add lines 23 through 26	27	
28	Multiply the number of exemptions claimed on line 27 by the exemption amount shown in the instructions for line 28 for the year you are amending (see page 10 of instructions)	28	
29	If you are claiming an exemption amount for housing individuals displaced by Hurricane Katrina, enter the amount from Form 8914, line 6 for 2006. If you are claiming an exemption amount for housing individuals displaced by a Midwestern disaster, enter the amount from Form 8914, line 2 for 2008, or line 6 for 2009	29	
30	Add lines 28 and 29. Enter the result here and on line 4 on page 1 of this form	30	

31 List **ALL** dependents (children and others) claimed on this amended return. If more than 4 dependents, see page 10 instructions.

(a) First name	Last name	(b) Dependent's social security number	(c) Dependent's relationship to you	(d) Check box if qualifying child for child tax credit (see page 10 of instructions)

Presidential Election Campaign Fund

Checking below will not increase your tax or reduce your refund.

☐ Check here if you did not previously want $3 to go to the fund, but now do.
☐ Check here if this is a joint return and your spouse did not previously want $3 to go to the fund, but now does.

Checklist

Before mailing this form, remember to

☐ Complete name, address, and social security number
☐ Complete lines A, B, and C on page 1
☐ Complete lines 1 through 22 on page 1
☐ Complete lines 23 through 31 on page 2, if required
☐ Attach any supporting documents and new or changed forms and schedules
☐ Sign and date this form

Sign Here

Remember to keep a copy of this form for your records.

Under penalties of perjury, I declare that I have filed an original return and that I have examined this amended return, including accompanying schedules and statements, and to the best of my knowledge and belief, this amended return is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information about which the preparer has any knowledge.

▶ [signature] 4/4/11 ▶
Your signature Date Spouse's signature. If a joint return, both must sign. Date

Paid Preparer's Use Only

▶ [signature] 04-04-2011
Preparer's signature Date

SAKYI & ASSOCIATES, [redacted]
WASHINGTON, DC 20017-2630
Firm's name (or yours if self-employed), address, and ZIP code

[redacted] ☒ Check if self-employed 202-347-[redacted]
Preparer's SSN or PTIN Phone number EIN

For forms and publications, visit IRS on the Web at www.irs.gov. EEA Form 1040X (Rev. 01-2010)

Form 1040 — Department of the Treasury - Internal Revenue Service
U.S. Individual Income Tax Return 2009 (99) IRS Use Only-Do not write or staple in this space

For the year Jan. 1-Dec. 31, 2009, or other tax year beginning , 2009, ending , 20 — OMB No. 1545-0074

Label (See instructions on page 14.) Use the IRS label. Otherwise, please print or type.

Your first name and initial	Last name	Your social security number
MICHAEL	COLLINS	[redacted]
If a joint return, spouse's first name and initial	Last name	Spouse's social security number
Home address (number and street). If you have a P.O. box, see page 14.	Apt. no.	You must enter your SSN(s) above.
[redacted]		
City, town or post office, state, and ZIP code. If you have a foreign address, see page 14.		Checking a box below will not change your tax or refund.
Fort Washington MD 20744-0000		

Presidential Election Campaign ▶ Check here if you, or your spouse if filing jointly, want $3 to go to this fund (see page 14) ▶ ☐ You ☐ Spouse

Filing Status (Check only one box.)
1 [X] Single
2 ☐ Married filing jointly (even if only one had income)
3 ☐ Married filing separately. Enter spouse's SSN above and full name here. ▶
4 ☐ Head of household (with qualifying person). (See page 15.) If the qualifying person is a child but not your dependent, enter this child's name here. ▶
5 ☐ Qualifying widow(er) with dependent child (see page 16)

Exemptions
6a [X] **Yourself.** If someone can claim you as a dependent, do not check box 6a — Boxes checked on 6a and 6b: 1
b ☐ Spouse — No. of children on 6c who: • lived with you; • did not live with you due to divorce or separation (see page 18)
c Dependents:

(1) First name Last name	(2) Dependent's social security number	(3) Dependent's relationship to you	(4) Check if qualifying child for child tax credit (see page 17)

If more than four dependents, see page 17 and check here ▶ ☐ — Dependents on 6c not entered above
d Total number of exemptions claimed — Add numbers on lines above ▶ 1

Income
Attach Form(s) W-2 here. Also attach Forms W-2G and 1099-R if tax was withheld.
If you did not get a W-2, see page 22.
Enclose, but do not attach, any payment. Also, please use Form 1040-V.

7	Wages, salaries, tips, etc. Attach Form(s) W-2			7	151,078
8a	Taxable interest. Attach Schedule B if required			8a	74
b	Tax-exempt interest. Do not include on line 8a	8b			
9a	Ordinary dividends. Attach Schedule B if required			9a	
b	Qualified dividends (see page 22)	9b			
10	Taxable refunds, credits, or offsets of state and local income taxes (see page 23)			10	4,436
11	Alimony received			11	
12	Business income or (loss). Attach Schedule C or C-EZ			12	
13	Capital gain or (loss). Attach Schedule D if required. If not required, check here ▶ ☐			13	
14	Other gains or (losses). Attach Form 4797			14	
15a	IRA distributions	15a	b Taxable amount (see page 24)	15b	
16a	Pensions and annuities	16a	b Taxable amount (see page 25)	16b	
17	Rental real estate, royalties, partnerships, S corporations, trusts, etc. Attach Schedule E			17	(13,088)
18	Farm income or (loss). Attach Schedule F			18	
19	Unemployment compensation in excess of $2,400 per recipient (see page 27)			19	
20a	Social security benefits	20a	b Taxable amount (see page 27)	20b	
21	Other income 1099MISC 27,000			21	27,000
22	Add the amounts in the far right column for lines 7 through 21. This is your **total income** ▶			22	169,500

Adjusted Gross Income

23	Educator expenses (see page 29)	23			
24	Certain business expenses of reservists, performing artists, and fee-basis government officials. Attach Form 2106 or 2106-EZ	24			
25	Health savings account deduction. Attach Form 8889	25			
26	Moving expenses. Attach Form 3903	26			
27	One-half of self-employment tax. Attach Schedule SE	27			
28	Self-employed SEP, SIMPLE, and qualified plans	28			
29	Self-employed health insurance deduction (see page 30)	29			
30	Penalty on early withdrawal of savings	30			
31a	Alimony paid b Recipient's SSN ▶	31a			
32	IRA deduction (see page 31)	32			
33	Student loan interest deduction (see page 34)	33			
34	Tuition and fees deduction. Attach Form 8917	34			
35	Domestic production activities deduction. Attach Form 8903	35			
36	Add lines 23 through 31a and 32 through 35			36	
37	Subtract line 36 from line 22. This is your **adjusted gross income** ▶			37	169,500

For Disclosure, Privacy Act, and Paperwork Reduction Act Notice, see page 97. EEA Form 1040 (2009)

Form 1040 (2009) MICHAEL COLLINS [redacted] Page 2

Tax and Credits	38	Amount from line 37 (adjusted gross income)	38	169,500
	39a	Check if: { You were born before January 2, 1945, Blind. } Total boxes checked ▶ 39a / Spouse was born before January 2, 1945, Blind.		
Standard Deduction for— • People who check any box on line 39a, 39b, or 40b or who can be claimed as a dependent, see page 35. • All others: Single or Married filing separately, $5,700 Married filing jointly or Qualifying widow(er), $11,400 Head of household, $8,350	b	If your spouse itemizes on a separate return or you were a dual-status alien, see pg 35 and check here ▶ 39b		
	40a	**Itemized deductions** (from Schedule A) or your **standard deduction** (see left margin)	40a	93,061
	b	If you are increasing your standard deduction by certain real estate taxes, new motor vehicle taxes, or a net disaster loss, attach Schedule L and check here (see page 35) ▶ 40b		
	41	Subtract line 40a from line 38	41	76,439
	42	**Exemptions.** If line 38 is $125,100 or less and you did not provide housing to a Midwestern displaced individual, multiply $3,650 by the number on line 6d. Otherwise, see page 37	42	3,601
	43	**Taxable income.** Subtract line 42 from line 41. If line 42 is more than line 41, enter -0-	43	72,838
	44	**Tax** (see page 37). Check if any tax is from: a Form(s) 8814 b Form 4972	44	14,394
	45	**Alternative minimum tax** (see page 40). Attach Form 6251	45	
	46	Add lines 44 and 45 ▶	46	14,394
	47	Foreign tax credit. Attach Form 1116 if required — 47		
	48	Credit for child and dependent care expenses. Attach Form 2441 — 48		
	49	Education credits from Form 8863, line 29 — 49		
	50	Retirement savings contributions credit. Attach Form 8880 — 50		
	51	Child tax credit (see page 42) — 51 — 0		
	52	Credits from Form: a 8396 b 8839 c 5695 — 52		
	53	Other credits from Form: a 3800 b 8801 c — 53		
	54	Add lines 47 through 53. These are your **total credits**	54	
	55	Subtract line 54 from line 46. If line 54 is more than line 46, enter -0- ▶	55	14,394
Other Taxes	56	Self-employment tax. Attach Schedule SE	56	
	57	Unreported social security and Medicare tax from Form: a 4137 b 8919	57	
	58	Additional tax on IRAs, other qualified retirement plans, etc. Attach Form 5329 if required	58	
	59	Additional taxes: a AEIC payments b Household employment taxes. Attach Sch. H	59	
	60	Add lines 55 through 59. This is your **total tax** ▶	60	14,394
Payments	61	Federal income tax withheld from Forms W-2 and 1099 — 61 — 32,340		
	62	2009 estimated tax payments and amount applied from 2008 return — 62		
If you have a qualifying child, attach Schedule EIC.	63	Making work pay and government retiree credits. Attach Schedule M — 63 — 0		
	64a	**Earned income credit (EIC)** — 64a		
	b	Nontaxable combat pay election — 64b		
	65	Additional child tax credit. Attach Form 8812 — 65		
	66	Refundable education credit from Form 8863, line 16 — 66		
	67	First-time homebuyer credit. Attach Form 5405 — 67		
	68	Amount paid with request for extension to file (see page 72) — 68		
	69	Excess social security and tier 1 RRTA tax withheld (see page 72) — 69		
	70	Credits from Form: a 2439 b 4136 c 8801 d 6885 — 70		
	71	Add lines 61, 62, 63, 64a, and 65 through 70. These are your **total payments** ▶	71	32,340
Refund Direct deposit? See page 73 and fill in 73b, 73c, and 73d, or Form 8888.	72	If line 71 is more than line 60, subtract line 60 from line 71. This is the amount you **overpaid**	72	17,946
	73a	Amount of line 72 you want **refunded to you.** If Form 8888 is attached, check here ▶	73a	17,946
	▶ b	Routing number [redacted] ▶ c Type: X Checking Savings		
	▶ d	Account number [redacted]		
	74	Amount of line 72 you want applied to your 2010 estimated tax ▶ 74		
Amount You Owe	75	**Amount you owe.** Subtract line 71 from line 60. For details on how to pay, see page 74 ▶	75	
	76	Estimated tax penalty (see page 74) — 76		

Third Party Designee — Do you want to allow another person to discuss this return with the IRS (see page 75)? Yes. Complete the following. X No

Designee's name ▶ Phone no. ▶ Personal identification number (PIN) ▶

Sign Here — Joint return? See page 15. Keep a copy for your records.

Under penalties of perjury, I declare that I have examined this return and accompanying schedules and statements, and to the best of my knowledge and belief, they are true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Your signature [redacted] — Date 02-27-2010 — Your occupation — Daytime phone number 202-225-[redacted]

Spouse's signature. If a joint return, **both** must sign. — Date — Spouse's occupation

Paid Preparer's Use Only

Preparer's signature — Date 04-04-2011 — Check if self-employed X — Preparer's SSN or PTIN [redacted]

Firm's name (or yours if self-employed), address, and ZIP code: SAKYI & ASSOCIATES [redacted] WASHINGTON DC 20017-2630 — EIN — Phone no. 202-347-[redacted]

EEA Form 1040 (2009)

MC_0030

11-4518_062

EXHIBIT 14

Form **W-2 Wage and Tax Statement** 2009

c Employer's name, address, and ZIP code U. S. HOUSE OF REPRESENTATIVES PAYROLL AND BENEFITS B215 LONGWORTH HOB WASHINGTON DC 20515	7 Social security tips 8 Allocated tips 9 Advance EIC payment 10 Dependent care benefits	1 Wages, tips, other compensation 151077.53 3 Social security wages 106800.00 5 Medicare wages and tips 151077.53 11 Nonqualified plans	2 Federal income tax withheld 32339.68 4 Social security tax withheld 6621.60 6 Medicare tax withheld 2190.62 12a
e Employee's name, address, and ZIP code MICHAEL E. COLLINS [redacted] FORT WASHINGTON MD 20744	13 Statutory employee / Retirement plan X / Third-party sick pay b Employer identification number (EIN) [redacted] a Employee's social security number [redacted]	14 Other	12b 12c 12d

15 State	Employer's state ID number	16 State wages, tips, etc.	17 State income tax	18 Local wages, tips, etc.	19 Local income tax	20 Locality name
MD	[redacted]	151077.53	11390.91			

Copy 2-To Be Filed With Employee's State, City, or Local Income Tax Return OMB No. 1545-0008 Dept. of the Treasury - IRS

Form **W-2 Wage and Tax Statement** 2009

c Employer's name, address, and ZIP code U. S. HOUSE OF REPRESENTATIVES PAYROLL AND BENEFITS B215 LONGWORTH HOB WASHINGTON DC 20515	7 Social security tips 8 Allocated tips 9 Advance EIC payment 10 Dependent care benefits	1 Wages, tips, other compensation 151077.53 3 Social security wages 106800.00 5 Medicare wages and tips 151077.53 11 Nonqualified plans	2 Federal income tax withheld 32339.68 4 Social security tax withheld 6621.60 6 Medicare tax withheld 2190.62 12a
e Employee's name, address, and ZIP code MICHAEL E. COLLINS [redacted] FORT WASHINGTON MD 20744	13 Statutory employee / Retirement plan X / Third-party sick pay b Employer identification number (EIN) [redacted] a Employee's social security number [redacted]	14 Other	12b 12c 12d

15 State	Employer's state ID number	16 State wages, tips, etc.	17 State income tax	18 Local wages, tips, etc.	19 Local income tax	20 Locality name
MD	[redacted]	151077.53	11390.91			

Copy 2-To Be Filed With Employee's State, City, or Local Income Tax Return OMB No. 1545-0008 Dept. of the Treasury - IRS

FORM # LW28700

APPENDIX B

1200 New Hampshire Avenue NW, Washington, DC 20036
tel (202) 974-5600 fax (202) 974-5602

CHADBOURNE
& PARKE LLP

Pamela Marple
direct tel (202) 974-5657
pmarple@chadbourne.com

June 9, 2011

Via Email

Daniel Schwager, Esq.
Deborah Morris, Esq.
Committee on Ethics
U.S. House of Representatives
1015 Longworth House Office Building
Washington, D.C. 20515-6328

Re: Michael Collins/ Review No. 11-4518

Dear Mr. Schwager and Mr. Morris:

We write as legal counsel for Michael Collins in response to a May 19, 2001 letter from the Committee on Ethics ("Committee") which attached a Report authored by the Office of Congressional Ethics ("OCE"). The OCE Report made certain findings and recommended that the Committee investigate issues surrounding Mr. Collins'' financial disclosure statements and federal income tax returns.

Although Mr. Collins does not dispute that he did not provide certain information on his forms, there are factual inaccuracies in the record that we believe are important for your consideration at this time.

The OCE report attaches a Memorandum of Interview ("OCE Memorandum") that is misleading. When Mr. Collins was asked to provide an interview, his first question to the OCE team was whether he should have a lawyer present. The OCE investigator immediately said "no" that a lawyer was not necessary. During the interview, however, the OCE investigators proceeded to ask Mr. Collins a series of leading questions that called for "yes" or "no" answers. As a result, the OCE Memorandum consists primarily of the investigators' language contained in those questions (we assume), and certainly very few actual statements made by Mr. Collins. Further, Mr. Collins did not understand the implications of the long, compound, and leading questions (to which any lawyer or neutral party would have objected). Nor did Mr. Collins understand that the investigators were leading him into agreeing with statements that were not in his own words. Finally, the OCE never provided an opportunity for Mr. Collins to review the OCE Memorandum.

It is not surprising then that the OCE Memorandum and the OCE Report contain inaccuracies and fail to convey the reality about the Campaign's practices and the reasons for Mr. Collins' omissions.

We note some specific inaccuracies in the OCE Report and Memorandum:

- Mr. Collins received from the Campaign a 1099-MISC Tax Form for the year 2009, implying that the form was sent to Mr. Collins in time for him to prepare his 2009 taxes and that a similar form was sent to him each year. *See* OCE Report, ¶ 37. The truth is that the Campaign never issued a 1099-MISC Tax Form (or other form) to Mr. Collins until the OCE investigation had commenced in 2011.

- Mr. Collins "prepares staff payroll documents and submits them to the House payroll office." OCE Memorandum, ¶ 5. The reality is that Mr. Collins submits documents to inform the House payroll office when there is a new employee or a change in employment. He does not "prepare staff payroll documents." It is the House payroll office that prepares the House payroll documents for House employees.

- Mr. Collins is responsible for all ethics requirements. *See* OCE Memo, ¶ 6. Of course, Mr. Collins, like all Chiefs of Staff, is responsible for ensuring that staff receive ethics training, but he is not responsible for providing that training, for its substance, or for its enforcement.

- Mr. Collins was a staff member of the Campaign, like the staff for which he wrote payroll checks. *See* OCE Report, ¶ 8. In fact, the individuals considered "campaign staff" are those hired on a full-time basis to run a re-election campaign, such as a campaign manager, fundraisers, and organizers. (The Campaign had no permanent staff.) Mr. Collins did not consider himself campaign staff as that term was used by the investigators. Mr. Collins, as a Chief of Staff, is a recognized agent of the Campaign, and in that capacity, Mr. Collins was not paid an hour-based "salary" like "campaign staff," but rather a discretional consultation fee.

- Mr. Collins "approved the payment of his own salary" from the Campaign. *See* OCE Report, ¶ 67. The truth is that Mr. Collins never once approved his own consultation fee.

- Mr. Collins reviewed the Campaign's Federal Election Commission ("FEC") report, *see* OCE Memorandum, ¶ 13, implying he was reviewing the report for FEC compliance. Mr. Collins, however, reviewed the draft reports for factual inaccuracies, such as descriptions of vendors.

- Mr. Collins stated that he "did not know that he was near the limit or that a possible infraction occurred until the OCE contact him." OCE Memorandum, ¶ 13. Mr. Collins' actual words were that it "did not register" with him that his campaign fees qualified as "outside income" for purposes of this limit.

CHADBOURNE
& PARKE LLP

Mr. Collins had little motive to hide the amounts he received from the Campaign, and there is no evidence that Mr. Collins intended to commit any purposeful violation. Not only were the amounts he received for his work with the Campaign quite small in comparison to his annual salary (with the 2009 figure being by far the largest amount), they were also included on the FEC filings, which Mr. Collins reviewed for factual errors. Had Mr. Collins' violations been willfull, he could have altered the FEC filings to ensure consistency in reporting. But that is not the case.

The OCE report also does not include other relevant information. For example, Mr. Collins has always filed his financial disclosure forms and tax returns (and paying taxes) every year. It is his practice to save every tax document sent to him and submit them to his accountant, who then prepares his tax returns. As noted, Mr. Collins never received a tax form for the amounts received from the Campaign, although the OCE knew, but decided to omit, this fact from its Report. Indeed, the only reason there was a 1099-MISC for 2009 is because Mr. Collins specifically requested that the appropriate tax document be issued to him after he was on notice from OCE that taxes were required. This conforms with his practice of being document triggered. Documents he receives and sends on to his accountant are his means to determine income, expenses, and deductions. Had Mr. Collins received a 1099-MISC each year, he would have been on notice that he needed to disclose those amounts and would have submitted the 1099-MISC to his accountant.

We would like the opportunity to demonstrate these and other facts. We believe that when Mr. Collins' conduct is seen in its proper perspective, along with his entire record of proper and dedicated service, his lack of intentional wrongdoing will be clear. We hope you would agree that he is a perfect candidate for a reasonably negotiated resolution of these important issues.

We look forward to meeting and finding an appropriate resolution to this matter.

Sincerely,

P. Marple

Pamela Marple